

Metatheory of LF Extended with Dependent Pair and Unit Types

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Abstract

We study an extension of the well known LF type theory with dependent pair and unit types. The important metatheoretic properties proved in this study are decidability of type checking and the existence of canonical forms. The study follows standard techniques introduced by Harper and Pfenning [5] together with extensions studied by Vanderwaart and Crary [7].

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1 Introduction

The dependently typed lambda calculus LF was introduced by Harper, Honsell and Plotkin [4] to serve as a logical framework. This language has since been used extensively to represent logics. This calculus can be described as a dependently-typed lambda calculus, with three levels: objects, type families and kinds. Both type families and kinds can depend on objects.

Our goal is to extend a functional language such as ML to be able to talk statically about logics represented in LF [6]. One key problem in this scenario is to deal with open terms. We have argued in earlier work [6] that we need only represent closed terms if we reify contexts within the language, and reify open terms as terms abstracted over the reified contexts. Our representation of contexts is as products of the types in the context, in a manner similar to the “telescopes” of deBruijn [3]. Since terms in the context can depend on terms abstracted over earlier in the context, we in fact require dependent pair types, also known as Σ -types. The null context is reified as the unit type, with a single canonical inhabitant.

In this paper, we will take a first step towards such a language. We will concentrate on the metatheory of the extended LF sketched out above. The current work studies LF extended with Σ types and unit, which we shall call $\text{LF}^{\Sigma,1}$. We will also need family-level abstractions to abstract over contexts. This was present in the original proposal for LF [4], but was dropped in the study of its metatheory [5]. We will add them back to the calculus.

A crucial property required of a logical framework is the existence of canonical forms. The “adequacy theorem” which says that the representation is meaningful establishes a bijection between the propositions and proofs in the represented logic and canonical forms of certain types. Canonical forms are taken to be β -normal, η -long forms. A second important property we would like a logical framework to have is decidability of checking proofs. In LF, this reduces to being able to decide type checking, since proofs are represented as terms and judgments as types.

These properties have been studied before for related languages. We will summarize the previous contributions briefly. The first presentation of LF [4] relied on β conversion as the notion of definitional equality, leaving out the technically complex η conversion entirely. This posed a problem with the method sketched out above, since canonical forms are η -long forms. Subsequently, Harper and Pfenning [5] reformulated LF to make the definitional equality a typed judgment form instead of an untyped reduction scheme. This definitional equality compared objects at a specified type and in a specified context, and axiomatized a congruence relation generated by the $\beta\eta$ convertibility of well typed terms. With this innovation, a new algorithm to decide type checking could be produced. The key component of a type-checking algorithm is an algorithm to decide definitional equality. They produced such an algorithm which is directed by the types at which objects are being compared. The algorithm works similarly to one by Coquand [1], except that comparisons is directed by types and not by the shape of terms. The novel type-directed algorithm was proved correct using the so-called method of logical relations. For technical reasons, Harper and Pfenning did not treat abstraction at the level of type families. Later, Vanderwaart and Crary [7] extended Harper and Pfenning’s method to the Linear Logical Framework LLF. They provided a separate argument to prove a necessary property of family level abstractions in this setting.

We will follow Harper and Pfenning [5] and Vanderwaart and Crary [7], and extend their equality algorithm to our language. As mentioned already, this algorithm is directed by the type at which objects are being compared. To avoid technical problems with proofs, it erases all dependencies from the type at which the comparison is done. The algorithm itself is simple. The main bulk of the work is to prove this algorithm sound and complete with respect to definitional equality. Completeness requires a complex proof based on a Kripke logical relation to make the inductive case go through. This method is described for a much simpler language by Crary [2].

Our study is a rather direct extension of Vanderwaart and Crary’s work, extended with a dependent pair and a unit type. For readers familiar with that work, our sequence of lemmas and theorems will be familiar. Our work also differs in the minor way that canonical forms is proved directly for terms in the language. Harper and Pfenning define a related but different notion called quasi-canonical forms, which do not syntactically belong to the language. Vanderwaart and Crary defer to their work, and do not prove the canonical forms property.

1.1 Overview

In section 2 we present the abstract syntax of our language. We define the typing and definitional equality judgments in section 3. Some elementary structural properties we require are proved in section 4. In particular, we will need regularity, *i.e.* terms appearing in typing judgments are well-formed. We will also need a property called functionality of substitutions, *i.e.* equal substitutions map equal arguments to equal arguments. Another property we need is called injectivity, which says that equal products and sums have equal components. This property is impossible to prove by simple induction on the equality judgment in the presence of the non-trivial equality induced by the presence of family-level abstractions. We follow Vanderwaart and Cray in proving this by means of a logical relation in section 5.

Next, we produce an algorithm in section 6 for deciding definitional equality. The completeness of this algorithm with respect to definitional equality is proved in section 7. We prove both soundness and existence of canonical forms together, in section 8. Finally in section 9 we extend the algorithm for equality to a sound and complete algorithm for typechecking. We prove decidability for all judgment forms.

2 Abstract Syntax

We start with presenting the abstract syntax of our language. This is generated by the following grammar.

Kinds	$K ::=$	type	kind of types
		$\Pi x:A.K$	dependent product kind
Families	$A ::=$	a	family constants
		$\lambda x:A_1.A_2$	family level abstraction
		$A M$	family application
		$\Pi x:A_1.A_2$	family of functions
		$\Sigma x:A_1.A_2$	family of products
Objects	$M ::=$	c	object constants
		x	object variables
		$\lambda x:A.M$	object functions
		$M_1 M_2$	object level application
		$\langle M_1, M_2 \rangle^A$	pairs of objects
		$\pi_i M \quad (i = 1, 2)$	projections from pairs
		$\langle \rangle$	unit object
Signatures	$\Sigma ::=$.	empty
		$\Sigma, a:K$	extension by family level constant
		$\Sigma, c:A$	extension by object level constant
Contexts	$\Gamma ::=$.	empty
		$\Gamma, x:A$	context extension

There are three levels of terms: objects, type families and kinds. Kinds classify families, and families belonging to kind **type** are called *types*. Types classify objects. The family level includes Π and Σ types, a unit type, as well as family-level abstractions and applications. We have object and family-level constants. A signature records the types and kinds assigned to these constants. We have object-level variables, and these are provided types by contexts. The other object level constructs are abstractions and applications, pairs and projections, and a canonical inhabitant of the unit type. Contexts, which assign types to variables, can be assumed to be ordered lists. Ordering is important because of dependencies. We assume that a variable is not bound more than once in contexts. This can always be assured in the standard way in all judgments.

We define a partial order on contexts syntactically. $\Gamma_1 \subseteq \Gamma_2$ holds if $\Gamma_1(x) = \Gamma_2(x)$ for every $x \in \text{Dom}(\Gamma_1)$. Thus if $\Gamma_1 \subseteq \Gamma_2$ then $\text{Dom}(\Gamma_1) \subseteq \text{Dom}(\Gamma_2)$, and Γ_1 appears as a subsequence of Γ_2 .

Substitutions	$\sigma ::=$.	empty
		$\sigma, M/x$	cons

Substitutions are finite maps from object variables to objects. They are defined as substituting for all variables in their domain simultaneously. We assume all object variables occurring in substitutions are distinct. We write id_Γ for the substitution which is identity on all variables in the domain of Γ . The result of applying substitutions on objects, families and kinds is written as $M[\sigma]$, $A[\sigma]$ and $K[\sigma]$, and this notation is extended to all judgments \mathcal{J} of the theory.

3 Static Semantics

3.1 Judgment Forms

The static semantics is presented in the form of 8 mutually recursive judgments, whose meanings are explained below.

$\vdash \Sigma : \text{sig}$	Σ is a valid signature
$\vdash \Gamma : \text{ctx}$	Γ is a valid context
$\Gamma \vdash M : A$	M has type A
$\Gamma \vdash A : K$	A has kind K
$\Gamma \vdash K : \text{kind}$	K is a valid kind
$\Gamma \vdash M_1 = M_2 : A$	M_1 equals M_2 at type A
$\Gamma \vdash A_1 = A_2 : K$	A_1 equals A_2 at kind K
$\Gamma \vdash K_1 = K_2 : \text{kind}$	K_1 equals K_2

The last three judgments define a typed definitional equality judgment. These equate terms at a particular type, families at particular kinds, and kinds.

3.2 Inference Rules

Recall that signatures assign types and kinds to constants. The first judgment ensures that such types and kinds are well-formed.

$\boxed{\vdash \Sigma : \text{sig}}$

Empty	$\frac{}{\vdash \cdot : \text{sig}}$
Family Constant	$\frac{\vdash \Sigma : \text{sig} \quad \cdot \vdash_{\Sigma} K : \text{kind}}{\vdash \Sigma, a : K : \text{sig}}$
Object Constant	$\frac{\vdash \Sigma : \text{sig} \quad \cdot \vdash_{\Sigma} A : \text{type}}{\vdash \Sigma, c : A : \text{sig}}$

From now on we assume fixed a valid signature Σ and omit it from the judgments. All further judgments assume this signature to be fixed.

$\boxed{\vdash \Gamma : \text{ctx}}$

Empty	$\frac{}{\vdash \cdot : \text{ctx}}$
Cons	$\frac{\vdash \Gamma : \text{ctx} \quad \Gamma \vdash A : \text{type}}{\vdash \Gamma, x : A : \text{ctx}}$

$\boxed{\Gamma \vdash M : A}$

Variables

$$\frac{\Gamma(x) = A}{\Gamma \vdash x : A}$$

Constants

$$\frac{\Sigma(c) = A}{\Gamma \vdash c : A}$$

Applications

$$\frac{\Gamma \vdash M_1 : \Pi x:A_2.A_1 \quad \Gamma \vdash M_2 : A_2}{\Gamma \vdash M_1 M_2 : A_1 [M_2/x]}$$

Abstractions

$$\frac{\Gamma \vdash A_1 : \text{type} \quad \Gamma, x:A_1 \vdash M_2 : A_2}{\Gamma \vdash \lambda x:A_1.M_2 : \Pi x:A_1.A_2}$$

Pairs

$$\frac{\Gamma \vdash \Sigma x:A_1.A_2 : \text{type} \quad \Gamma \vdash M_1 : A_1 \quad \Gamma \vdash M_2 : A_2 [M_1/x]}{\Gamma \vdash \langle M_1, M_2 \rangle^{\Sigma x:A_1.A_2} : \Sigma x:A_1.A_2}$$

Projections

$$\frac{\Gamma \vdash M : \Sigma x:A_1.A_2}{\Gamma \vdash \pi_1 M : A_1}$$

$$\frac{\Gamma \vdash M : \Sigma x:A_1.A_2}{\Gamma \vdash \pi_2 M : A_2 [\pi_1 M/x]}$$

Unit

$$\frac{}{\Gamma \vdash \langle \rangle : 1}$$

Type Conversion

$$\frac{\Gamma \vdash M : A_1 \quad \Gamma \vdash A_1 = A_2 : \text{type}}{\Gamma \vdash M : A_2}$$

 $\boxed{\Gamma \vdash A : K}$

Constants

$$\frac{\Sigma(a) = K}{\Gamma \vdash a : K}$$

Abstractions

$$\frac{\Gamma \vdash A_1 : \text{type} \quad \Gamma, x:A_1 \vdash A_2 : K}{\Gamma \vdash \lambda x:A_1.A_2 : \Pi x:A_1.K}$$

Applications

$$\frac{\Gamma \vdash A_1 : \Pi x:A_2.K \quad \Gamma \vdash M : A_2}{\Gamma \vdash A_1 M : K [M/x]}$$

Dependent Function Types

$$\frac{\Gamma \vdash A_1 : \text{type} \quad \Gamma, x:A_1 \vdash A_2 : \text{type}}{\Gamma \vdash \Pi x:A_1.A_2 : \text{type}}$$

Dependent Pair Types

$$\frac{\Gamma \vdash A_1 : \text{type} \quad \Gamma, x:A_1 \vdash A_2 : \text{type}}{\Gamma \vdash \Sigma x:A_1.A_2 : \text{type}}$$

Unit

$$\frac{}{\Gamma \vdash 1 : \text{type}}$$

Kind Conversion

$$\frac{\Gamma \vdash A : K_1 \quad \Gamma \vdash K_1 = K_2 : \text{kind}}{\Gamma \vdash A : K_2}$$

$$\boxed{\Gamma \vdash K : \text{kind}}$$

Type

$$\frac{}{\Gamma \vdash \text{type} : \text{kind}}$$

Products

$$\frac{\Gamma \vdash A : \text{type} \quad \Gamma, x:A \vdash K : \text{kind}}{\Gamma \vdash \Pi x:A. K : \text{kind}}$$

Definitional equality is presented in the form of the three judgments detailed below. These axiomatize equality between objects, type families and kinds.

$$\boxed{\Gamma \vdash M_1 = M_2 : A}$$

Variables

$$\frac{\Gamma(x) = A}{\Gamma \vdash x = x : A}$$

Constants

$$\frac{\Sigma(c) = A}{\Gamma \vdash c = c : A}$$

Applications

$$\frac{\Gamma \vdash M_{11} = M_{21} : \Pi x:A_2. A_1 \quad \Gamma \vdash M_{12} = M_{22} : A_2}{\Gamma \vdash M_{11} M_{12} = M_{21} M_{22} : A_1 [M_{12}/x]}$$

Abstractions

$$\frac{\Gamma \vdash A_{11} = A_1 : \text{type} \quad \Gamma \vdash A_{12} = A_1 : \text{type} \quad \Gamma, x:A_1 \vdash M_1 = M_2 : A_2}{\Gamma \vdash \lambda x:A_{11}. M_1 = \lambda x:A_{12}. M_2 : \Pi x:A_1. A_2}$$

Extensionality
at Π type

$$\frac{\Gamma \vdash A_1 : \text{type} \quad \Gamma \vdash M_1 : \Pi x:A_1. A_2 \quad \Gamma \vdash M_2 : \Pi x:A_1. A_2 \quad \Gamma, x:A_1 \vdash M_1 x = M_2 x : A_2}{\Gamma \vdash M_1 = M_2 : \Pi x:A_1. A_2}$$

Parallel β -conversion
for function application

$$\frac{\Gamma \vdash A_1 : \text{type} \quad \Gamma, x:A_1 \vdash M_{12} = M_{22} : A_2 \quad \Gamma \vdash M_{11} = M_{21} : A_1}{\Gamma \vdash (\lambda x:A_1. M_{12}) M_{11} = M_{22} [M_{21}/x] : A_2 [M_{11}/x]}$$

Pairs

$$\frac{\Gamma \vdash \Sigma x:A_1. A_2 : \text{type} \quad \Gamma \vdash M_{11} = M_{21} : A_1 \quad \Gamma \vdash M_{12} = M_{22} : A_2 [M_{11}/x]}{\Gamma \vdash \langle M_{11}, M_{12} \rangle^{\Sigma x:A_1. A_2} = \langle M_{21}, M_{22} \rangle^{\Sigma x:A_1. A_2} : \Sigma x:A_1. A_2}$$

Projections

$$\frac{\Gamma \vdash M_1 = M_2 : \Sigma x:A_1. A_2}{\Gamma \vdash \pi_1 M_1 = \pi_1 M_2 : A_1}$$

$$\frac{\Gamma \vdash M_1 = M_2 : \Sigma x:A_1. A_2}{\Gamma \vdash \pi_2 M_1 = \pi_2 M_2 : A_2 [\pi_1 M_1/x]}$$

Extensionality
at Unit Type

$$\frac{\Gamma \vdash M_1 : 1 \quad \Gamma \vdash M_2 : 1}{\Gamma \vdash M_1 = M_2 : 1}$$

Parallel β -Conversion
for pair projection

$$\frac{\frac{\Gamma \vdash M_1 = M_3 : A_1 \quad \Gamma \vdash M_2 : A_2}{\Gamma \vdash \pi_1 \langle M_1, M_2 \rangle^A = M_3 : A_1} \quad \frac{\Gamma \vdash M_1 : A_1 \quad \Gamma \vdash M_2 = M_3 : A_2}{\Gamma \vdash \pi_2 \langle M_1, M_2 \rangle^A = M_3 : A_2}}$$

Extensionality
at Σ type

$$\frac{\Gamma \vdash M_1 : \Sigma x:A_1.A_2 \quad \Gamma \vdash M_2 : \Sigma x:A_1.A_2 \quad \Gamma \vdash \pi_1 M_1 = \pi_1 M_2 : A_1 \quad \Gamma \vdash \pi_2 M_1 = \pi_2 M_2 : A_2 [\pi_1 M_1/x]}{\Gamma \vdash M_1 = M_2 : \Sigma x:A_1.A_2}$$

Symmetry

$$\frac{\Gamma \vdash M_2 = M_1 : A}{\Gamma \vdash M_1 = M_2 : A}$$

Transitivity

$$\frac{\Gamma \vdash M_1 = M_2 : A \quad \Gamma \vdash M_2 = M_3 : A}{\Gamma \vdash M_1 = M_3 : A}$$

Type Conversion

$$\frac{\Gamma \vdash A_1 = A_2 : \text{type} \quad \Gamma \vdash M_1 = M_2 : A_1}{\Gamma \vdash M_1 = M_2 : A_2}$$

$$\boxed{\Gamma \vdash A_1 = A_2 : K}$$

Constants

$$\frac{\Sigma(a) = K}{\Gamma \vdash a = a : K}$$

Abstractions

$$\frac{\Gamma \vdash A_{11} = A_1 : \text{type} \quad \Gamma \vdash A_{21} = A_1 : \text{type} \quad \Gamma, x:A_1 \vdash A_{12} = A_{22} : K}{\Gamma \vdash \lambda x:A_{11}.A_{12} = \lambda x:A_{21}.A_{22} : \Pi x:A_1.K}$$

Applications

$$\frac{\Gamma \vdash A_1 = A_2 : \Pi x:A_3.K \quad \Gamma \vdash M_1 = M_2 : A_3}{\Gamma \vdash A_1 M_1 = A_2 M_2 : K [M_1/x]}$$

Extensionality
at Π kind

$$\frac{\Gamma \vdash A : \text{type} \quad \Gamma \vdash A_1 : \Pi x:A.K \quad \Gamma \vdash A_2 : \Pi x:A.K \quad \Gamma, x:A \vdash A_1 x = A_2 x : K}{\Gamma \vdash A_1 = A_2 : \Pi x:A.K}$$

Parallel β -Conversion
for function application

$$\frac{\Gamma \vdash A : \text{type} \quad \Gamma, x:A \vdash A_1 = A_2 : K \quad \Gamma \vdash M_1 = M_2 : A}{\Gamma \vdash (\lambda x:A.A_1) M_1 = A_2 [M_2/x] : K [M_1/x]}$$

Dependent Function Types

$$\frac{\Gamma \vdash A_{11} = A_{21} : \text{type} \quad \Gamma \vdash A_{11} : \text{type} \quad \Gamma, x:A_{11} \vdash A_{12} = A_{22} : \text{type}}{\Gamma \vdash \Pi x:A_{11}.A_{12} = \Pi x:A_{21}.A_{22} : \text{type}}$$

Dependent Pair Types

$$\frac{\Gamma \vdash A_{11} = A_{21} : \text{type} \quad \Gamma \vdash A_{11} : \text{type} \quad \Gamma, x:A_{11} \vdash A_{12} = A_{22} : \text{type}}{\Gamma \vdash \Sigma x:A_{11}.A_{12} = \Sigma x:A_{21}.A_{22} : \text{type}}$$

Unit

$$\frac{}{\Gamma \vdash 1 = 1 : \text{type}}$$

Symmetry

$$\frac{\Gamma \vdash A_2 = A_1 : K}{\Gamma \vdash A_1 = A_2 : K}$$

Transitivity

$$\frac{\Gamma \vdash A_1 = A_2 : K \quad \Gamma \vdash A_2 = A_3 : K}{\Gamma \vdash A_1 = A_3 : K}$$

Kind Conversion

$$\frac{\Gamma \vdash K_1 = K_2 : \text{kind} \quad \Gamma \vdash A_1 = A_2 : K_1}{\Gamma \vdash A_1 = A_2 : K_2}$$

$$\boxed{\Gamma \vdash K_1 = K_2 : \text{kind}}$$

Type

$$\frac{}{\Gamma \vdash \text{type} = \text{type} : \text{kind}}$$

Dependent Function Kinds

$$\frac{\Gamma \vdash A_1 = A_2 : \text{type} \quad \Gamma \vdash A_1 : \text{type} \quad \Gamma, x:A_1 \vdash K_1 = K_2 : \text{kind}}{\Gamma \vdash \Pi x:A_1.K_1 = \Pi x:A_2.K_2 : \text{kind}}$$

Symmetry

$$\frac{\Gamma \vdash K_2 = K_1 : \text{kind}}{\Gamma \vdash K_1 = K_2 : \text{kind}}$$

Transitivity

$$\frac{\Gamma \vdash K_1 = K_2 : \text{kind} \quad \Gamma \vdash K_2 = K_3 : \text{kind}}{\Gamma \vdash K_1 = K_3 : \text{kind}}$$

Well Typed Substitutions Finally, we introduce notation for typing substitutions below.

Definition 3.1 *The judgment $\Gamma_2 \vdash \sigma : \Gamma_1$ holds iff $\forall x \in \text{Dom}(\Gamma_1). \Gamma_2 \vdash \sigma(x) : \sigma(\Gamma_1(x))$.*

Definition 3.2 *The judgment $\Gamma_2 \vdash \sigma_1 = \sigma_2 : \Gamma_1$ holds iff*

- $\Gamma_2 \vdash \sigma_1 : \Gamma_1$,
- $\Gamma_2 \vdash \sigma_2 : \Gamma_1$, and
- $\forall x \in \text{Dom}(\Gamma_1). \Gamma_2 \vdash \sigma_1(x) = \sigma_2(x) : \sigma_1(\Gamma_1(x))$.

4 Elementary Properties

We start with some basic properties of the system. The most important lemma in this section is the regularity lemma, which states that terms appearing in valid derivations are themselves well typed. To get there, we first prove some basic structural facts about the typing judgments can be proved by easy structural inductions.

Lemma 4.1 (Weakening) *If $\Gamma_1 \vdash \mathcal{J}$ and $\Gamma_1 \subseteq \Gamma_2$, then $\Gamma_2 \vdash \mathcal{J}$.*

Proof

By structural induction on the derivation of the first judgment. □

Lemma 4.2 (Free Variable Containment) *If $\vdash \Gamma : \text{ctx}$ and $\Gamma \vdash \mathcal{J}$, then $FV(\mathcal{J}) \in \text{Dom}(\Gamma)$.*

Proof

By structural induction on the derivation of the second judgment. □

Next we show that reflexivity is admissible for our definition of definitional equality. This lemma shows that definitional equality is an equivalence relation (it is already symmetric and transitive by rules).

Lemma 4.3 (Reflexivity)

1. *If $\Gamma \vdash M : A$ then $\Gamma \vdash M = M : A$.*
2. *If $\Gamma \vdash A : K$ then $\Gamma \vdash A = A : K$.*
3. *If $\Gamma \vdash K : \text{kind}$ then $\Gamma \vdash K = K : \text{kind}$.*

Proof

By structural induction on the derivation of the judgment. □

One of the key properties of a declarative system is the admissibility of a substitution property for hypotheses. To prove this, we need some basic facts about substitutions, such as that we can always come up with an identity substitution for a well-typed context, and that substitutions can be extended to have no effect on terms not in the domain of the substitution.

Lemma 4.4 (Identity Substitutions) *If $\vdash \Gamma : \text{ctx}$ then $\Gamma \vdash \text{id}_\Gamma : \Gamma$ and $\Gamma \vdash \text{id}_\Gamma = \text{id}_\Gamma : \Gamma$.*

Proof

By induction on the construction of the context. □

Lemma 4.5 (Extending Substitutions)

1. *If $\Gamma_1 \vdash \sigma_1 : \Gamma$, $\Gamma \vdash A : \text{type}$ and $x \notin \text{Dom}(\Gamma) \cup \text{Dom}(\Gamma_1)$ then $\Gamma_1, x:A[\sigma_1] \vdash \sigma_1, x/x : \Gamma, x:A$.*
2. *If $\Gamma_1 \vdash \sigma_1 = \sigma_2 : \Gamma$, $\Gamma \vdash A : \text{type}$ and $x \notin \text{Dom}(\Gamma) \cup \text{Dom}(\Gamma_1)$ then $\Gamma_1, x:A[\sigma_1] \vdash \sigma_1, x/x = \sigma_2, x/x : \Gamma, x:A$.*

Proof

Case 1:

Direct, by the definition of substitution typing, using Weakening.

Case 2:

Direct, by the definition of substitution equality, part 1 and Weakening. □

Next, we show that the notion of substitutions can be lifted to entire judgments.

Lemma 4.6 (Substitution) *If $\Gamma_1 \vdash \mathcal{J}$ and $\Gamma_2 \vdash \sigma : \Gamma_1$, then $\Gamma_2 \vdash \mathcal{J}[\sigma]$.*

Proof

By structural induction on the second judgment. □

With the key substitution property already proved, an useful fact about contexts can now be proved. We often want to change declarations in the context to bind a definitionally equal type. This is now easy to prove.

Lemma 4.7 (Context Conversion) *Assume $\vdash \Gamma, x:A_1 : \text{ctx}$, $\Gamma \vdash A_2 : \text{type}$, and $\Gamma \vdash A_1 = A_2 : \text{type}$. If $\Gamma, x:A_1 \vdash \mathcal{J}$, then $\Gamma, x:A_2 \vdash \mathcal{J}$.*

Proof

Direct, by weakening and substitution.

$\Gamma, x:A_2 \vdash x : A_2$	By rule (variable)
$\Gamma \vdash A_1 = A_2 : \text{type}$	By assumption
$\Gamma \vdash A_2 = A_1 : \text{type}$	By rule (symmetry)
$\Gamma, x:A_2 \vdash x : A_1$	By rule (type conversion)
$\Gamma, x:A_1 \vdash \mathcal{J}$	By assumption
$\Gamma, x_1:A_1 \vdash \mathcal{J}[x_1/x]$	By substitution
$\Gamma, x:A_2, x_1:A_1 \vdash \mathcal{J}[x_1/x]$	By weakening
$\Gamma, x:A_2 \vdash (\mathcal{J}[x_1/x])[x/x_1]$	By substitution
$\Gamma, x:A_2 \vdash \mathcal{J}$	By definition of substitution

□

A critical lemma for our purposes is the lemma that is usually called functionality. This says that equal substitutions are “functional”, *i.e.* given equal elements, they produce equal elements. Such a property is needed, for example, in our proofs of completeness of algorithmic equality with respect to the definitional equality given above. Our proof of this property needs regularity. However, our proof of regularity itself seems to need this property. Fortunately, the form of functionality needed in the regularity proof is less general. We need the fact that applying equal substitutions to *the same* element produces equal elements. This less general lemma can be proved directly, without an appeal to regularity. After we prove regularity, we can come back and prove the more general version we will need later.

Lemma 4.8 (Functionality for Typing) *Assume $\Gamma_1 \vdash \sigma_1 : \Gamma$, $\Gamma_1 \vdash \sigma_2 : \Gamma$, and $\Gamma_1 \vdash \sigma_1 = \sigma_2 : \Gamma$.*

1. *If $\Gamma \vdash M : A$ then $\Gamma_1 \vdash M[\sigma_1] = M[\sigma_2] : A[\sigma_1]$.*
2. *If $\Gamma \vdash A : K$ then $\Gamma_1 \vdash A[\sigma_1] = A[\sigma_2] : K[\sigma_1]$.*
3. *If $\Gamma \vdash K : \text{kind}$ then $\Gamma_1 \vdash K[\sigma_1] = K[\sigma_2] : \text{kind}$.*

Proof

By induction on the typing judgment. We show one representative cases for pairs.

$$\text{Case 1: } \frac{\Gamma \vdash \Sigma x:A_1.A_2 : \text{type} \quad \Gamma \vdash M_1 : A_1 \quad \Gamma \vdash M_2 : A_2 [M_1/x]}{\Gamma \vdash \langle M_1, M_2 \rangle^{\Sigma x:A_1.A_2} : \Sigma x:A_1.A_2}$$

$\Gamma_1 \vdash (\Sigma x:A_1.A_2)[\sigma_1] : \text{type}$	By Substitution
$\Gamma_1 \vdash \Sigma x:(A_1[\sigma_1]).(A_2[\sigma_1]) : \text{type}$	By definition of substitution
$\Gamma_1 \vdash M_1[\sigma_1] = M_1[\sigma_2] : A_1[\sigma_1]$	By induction
$\Gamma_1 \vdash M_2[\sigma_1] = M_2[\sigma_2] : (A_2 [M_1/x])[\sigma_1]$	By induction

$$\begin{array}{l}
\Gamma_1 \vdash M_2[\sigma_1] = M_1[\sigma_2] : (A_2[\sigma_1]) [(M_1[\sigma_1])/x] \quad \text{By properties of substitution} \\
\Gamma_1 \vdash \langle M_1[\sigma_1], M_2[\sigma_1] \rangle^{\Sigma x:(A_1[\sigma_1]).(A_2[\sigma_1])} = \langle M_1[\sigma_2], M_2[\sigma_2] \rangle^{\Sigma x:(A_1[\sigma_2]).(A_2[\sigma_2])} : \Sigma x:(A_1[\sigma_1]).(A_2[\sigma_1]) \quad \text{By rule (products)} \\
\Gamma_1 \vdash \langle (M_1, M_2)^{\Sigma x:A_1.A_2} \rangle[\sigma_1] = \langle (M_1, M_2)^{\Sigma x:A_1.A_2} \rangle[\sigma_2] : (\Sigma x:A_1.A_2)[\sigma_1] \quad \text{By definition of substitution}
\end{array}$$

□

One last lemma is needed before we give our proof of regularity. We need for sum and product types and product kinds the fact that the components of these types are well-formed if the types themselves are well-formed. This is applied in the sum and product type cases in the proof of regularity.

Lemma 4.9 (Inversion on Products and Sums)

1. If $\Gamma \vdash \Pi x:A_1.A_2 : K$ then $\Gamma \vdash A_1 : \text{type}$ and $\Gamma, x:A_1 \vdash A_2 : \text{type}$.
2. If $\Gamma \vdash \Sigma x:A_1.A_2 : K$ then $\Gamma \vdash A_1 : \text{type}$ and $\Gamma, x:A_1 \vdash A_2 : \text{type}$.
3. If $\Gamma \vdash \Pi x:A.K : \text{kind}$ then $\Gamma \vdash A : \text{type}$ and $\Gamma, x:A \vdash K : \text{kind}$.

Proof

By induction on the first derivation.

□

We are now in a position to prove our key regularity property.

Lemma 4.10 (Regularity) Assume $\vdash \Gamma : \text{ctx}$.

1. If $\Gamma \vdash M : A$ then $\Gamma \vdash A : \text{type}$.
2. If $\Gamma \vdash M_1 = M_2 : A$ then $\Gamma \vdash M_1 : A$, $\Gamma \vdash M_2 : A$, and $\Gamma \vdash A : \text{type}$.
3. If $\Gamma \vdash A : K$ then $\Gamma \vdash K : \text{kind}$.
4. If $\Gamma \vdash A_1 = A_2 : K$ then $\Gamma \vdash A_1 : K$, $\Gamma \vdash A_2 : K$, and $\Gamma \vdash K : \text{kind}$.
5. If $\Gamma \vdash K_1 = K_2 : \text{kind}$ then $\Gamma \vdash K_1 : \text{kind}$, and $\Gamma \vdash K_2 : \text{kind}$.

Proof

By induction on the derivation of the judgment. We show some representative cases.

Case 1:
$$\frac{\Gamma \vdash A_1 : \text{type} \quad \Gamma, x:A_1 \vdash M_2 : A_2}{\Gamma \vdash \lambda x:A_1.M_2 : \Pi x:A_1.A_2}$$

$$\begin{array}{l}
\Gamma, x:A_1 \vdash A_2 : \text{type} \\
\Gamma \vdash \Pi x:A_1.A_2 : \text{type}
\end{array}$$

By induction
By rule

Case 2:
$$\frac{\Gamma \vdash M : \Sigma x:A_1.A_2}{\Gamma \vdash \pi_2 M : A_2 [\pi_1 M/x]}$$

$$\begin{array}{l}
\Gamma \vdash \Sigma x:A_1.A_2 : \text{type} \\
\Gamma \vdash A_1 : \text{type}, \\
\Gamma, x:A_1 \vdash A_2 : \text{type} \\
\Gamma \vdash M : \Sigma x:A_1.A_2 \\
\Gamma \vdash \pi_1 M : A_1 \\
\Gamma \vdash A_2 [\pi_1 M/x] : \text{type}
\end{array}$$

By induction
By Inversion on Sums
By assumption
By rule (projection)
By Substitution

$$\text{Case 3: } \frac{\Gamma \vdash A_{11} = A_1 : \text{type} \quad \Gamma \vdash A_{12} = A_1 : \text{type} \quad \Gamma, x:A_1 \vdash M_1 = M_2 : A_2}{\Gamma \vdash \lambda x:A_{11}.M_1 = \lambda x:A_{12}.M_2 : \Pi x:A_1.A_2}$$

$\Gamma \vdash A_{11} : \text{type}$	By induction
$\Gamma \vdash A_{21} : \text{type}$	By induction
$\Gamma \vdash A_1 : \text{type}$	By induction
$\Gamma, x:A_1 \vdash M_1 : A_2,$	
$\Gamma, x:A_1 \vdash A_2 : A_2,$	
$\Gamma, x:A_1 \vdash A_2 : \text{type}$	By induction
$\Gamma, x:A_1 \vdash A_2 = A_2 : \text{type}$	By Reflexivity
$\Gamma \vdash \Pi x:A_1.A_2 : \text{type}$	By rule
$\Gamma \vdash \Pi x:A_1.A_2 = \Pi x:A_{11}.A_2 : \text{type}$	By rule
$\Gamma \vdash \Pi x:A_1.A_2 = \Pi x:A_{21}.A_2 : \text{type}$	By rule
$\Gamma, x:A_{11} \vdash M_1 : A_2$	By Context Conversion
$\Gamma \vdash \lambda x:A_{11}.M_1 : \Pi x:A_{11}.A_2$	By rule (abstraction)
$\Gamma_1 \vdash \lambda x:A_{11}.M_1 : \Pi x:A_1.A_2$	By rule (type conversion)
$\Gamma, x:A_{21} \vdash M_2 : A_2$	By Context Conversion
$\Gamma_1 \vdash \lambda x:A_{21}.M_2 : \Pi x:A_{21}.A_2$	By rule (abstraction)
$\Gamma_1 \vdash \lambda x:A_{21}.M_2 : \Pi x:A_1.A_2$	By rule (type conversion)

$$\text{Case 4: } \frac{\Gamma \vdash A_1 : \text{type} \quad \Gamma, x:A_1 \vdash M_{12} = M_{22} : A_2 \quad \Gamma \vdash M_{11} = M_{21} : A_1}{\Gamma \vdash (\lambda x:A_1.M_{12}) M_{11} = M_{22} [M_{21}/x] : A_2 [M_{11}/x]}$$

$\Gamma, x:A_1 \vdash M_{12} : A_2,$	
$\Gamma, x:A_1 \vdash M_{22} : A_2,$	
$\Gamma, x:A_1 \vdash A_2 : \text{type}$	By induction
$\Gamma \vdash M_{11} : A_1,$	
$\Gamma \vdash M_{21} : A_1,$	
$\Gamma \vdash A_1 : \text{type}$	By induction
$\Gamma \vdash A_2 [M_{11}/x] : \text{type}$	By Substitution
$\Gamma \vdash A_2 [M_{11}/x] = A_2 [M_{21}/x] : \text{type}$	By Functionality
$\Gamma \vdash M_{22} [M_{21}/x] : A_2 [M_{21}/x]$	By Substitution
$\Gamma \vdash M_{22} [M_{21}/x] : A_2 [M_{11}/x]$	By rule (type conversion)
$\Gamma \vdash \lambda x:A_1.M_{12} : \Pi x:A_1.A_2$	By rule
$\Gamma \vdash (\lambda x:A_1.M_{12}) M_{11} : A_2 [M_{11}/x]$	By rule

$$\text{Case 5: } \frac{\Gamma \vdash \Sigma x:A_1.A_2 : \text{type} \quad \Gamma \vdash M_{11} = M_{21} : A_1 \quad \Gamma \vdash M_{12} = M_{22} : A_2 [M_{11}/x]}{\Gamma \vdash \langle M_{11}, M_{12} \rangle^{\Sigma x:A_1.A_2} = \langle M_{21}, M_{22} \rangle^{\Sigma x:A_1.A_2} : \Sigma x:A_1.A_2}$$

$\Gamma \vdash A_1 : \text{type},$	
$\Gamma, x:A_1 \vdash A_2 : \text{type}$	By Inversion on Sums
$\Gamma \vdash M_{11} : A_1,$	
$\Gamma \vdash M_{21} : A_1$	By induction
$\Gamma \vdash A_2 [M_{11}/x] = A_2 [M_{21}/x] : \text{type}$	By Functionality
$\Gamma \vdash M_{12} : A_2 [M_{11}/x],$	
$\Gamma \vdash M_{22} : A_2 [M_{11}/x]$	By induction
$\Gamma \vdash M_{22} : A_2 [M_{21}/x]$	By rule (type conversion)
$\Gamma \vdash \Sigma x:A_1.A_2 : \text{type}$	By assumption
$\Gamma \vdash \langle M_{11}, M_{12} \rangle^{\Sigma x:A_1.A_2} : \Sigma x:A_1.A_2$	By rule
$\Gamma \vdash \langle M_{21}, M_{22} \rangle^{\Sigma x:A_1.A_2} : \Sigma x:A_1.A_2$	By rule

$$\text{Case 6: } \frac{\Gamma \vdash M_1 : A_1 \quad \Gamma \vdash M_2 = M_3 : A_2}{\Gamma \vdash \pi_2 \langle M_1, M_2 \rangle^A = M_3 : A_2}$$

$\Gamma \vdash M_2 : A_2,$	
$\Gamma \vdash M_3 : A_2,$	
$\Gamma \vdash A_2 : \text{type}$	By induction
$\Gamma \vdash A_1 : \text{type}$	By induction
$\Gamma, x:A_1 \vdash A_2 : \text{type}$	By Weakening
$\Gamma \vdash \Sigma x:A_1.A_2 : \text{type}$	By rule
$\Gamma \vdash M_2 : A_2 [M_1/x]$	Since $x \notin FV(A_2)$
$\Gamma \vdash \langle M_1, M_2 \rangle^A : \Sigma x:A_1.A_2$	By rule (pair)
$\Gamma \vdash \pi_2 \langle M_1, M_2 \rangle^A : A_2 [\pi_1 M_1/x]$	By rule (projection)
$\Gamma \vdash \pi_2 \langle M_1, M_2 \rangle^A : A_2$	Since $x \notin FV(A_2)$

□

With regularity now proved, we can prove two important lemmas used many times in the later sections. One is a generalization of the functionality property to the equality judgments. The other is an inversion on typing judgments.

Lemma 4.11 (Functionality for Equality) *Assume $\Gamma_1 \vdash \sigma_1 = \sigma_2 : \Gamma$.*

1. *If $\Gamma \vdash M_1 = M_2 : A$ then $\Gamma_1 \vdash M_1[\sigma_1] = M_2[\sigma_2] : A[\sigma_1]$.*
2. *If $\Gamma \vdash A_1 = A_2 : K$ then $\Gamma_1 \vdash A_1[\sigma_1] = A_2[\sigma_2] : K[\sigma_1]$.*
3. *If $\Gamma \vdash K_1 = K_2 : \text{kind}$ then $\Gamma_1 \vdash K_1[\sigma_1] = K_2[\sigma_2] : \text{kind}$.*

Proof

Direct. We show one case.

$\Gamma_1 \vdash \sigma_1 : \Gamma,$	
$\Gamma_1 \vdash \sigma_2 : \Gamma$	By definition of substitution equality
$\Gamma_1 \vdash M_1[\sigma_1] = M_2[\sigma_1] : A[\sigma_1]$	By Substitution
$\Gamma \vdash M_2 : A$	By Regularity
$\Gamma_1 \vdash M_2[\sigma_1] = M_2[\sigma_2] : A[\sigma_1]$	By Functionality
$\Gamma_1 \vdash M_1[\sigma_1] = M_2[\sigma_2] : A[\sigma_1]$	By rule (transitivity)

□

Lemma 4.12 (Typing Inversion) *Assume $\vdash \Gamma : \text{ctx}$.*

1. *If $\Gamma \vdash x : A_1$ then $\Gamma(x) = A_2$ and $\Gamma \vdash A_1 = A_2 : \text{type}$.*
2. *If $\Gamma \vdash c : A_1$ then $\Sigma(c) = A_2$ and $\Gamma \vdash A_1 = A_2 : \text{type}$.*
3. *If $\Gamma \vdash \lambda x:A_1.M_1 : A$ then $\Gamma \vdash A_1 : \text{type}$ and $\Gamma, x:A_1 \vdash M_1 : A_2$ and $\Gamma \vdash \Pi x:A_1.A_2 = A : \text{type}$.*
4. *If $\Gamma \vdash M_1 M_2 : A$ then $\Gamma \vdash M_1 : \Pi x:A_1.A_2$, $\Gamma \vdash M_2 : A_1$ and $\Gamma \vdash A_2 [M_2/x] = A : \text{type}$.*
5. *If $\Gamma \vdash \langle M_1, M_2 \rangle^{\Sigma x:A_1.A_2} : A$ then $\Gamma \vdash M_1 : A_1$, $\Gamma \vdash M_2 : A_2 [M_1/x]$ and $\Gamma \vdash \Sigma x:A_1.A_2 = A : \text{type}$.*
6. *If $\Gamma \vdash \pi_1 M_1 : A$ then $\Gamma \vdash M_1 : \Sigma x:A_1.A_2$ and $\Gamma \vdash A_1 = A : \text{type}$.*

7. If $\Gamma \vdash \pi_2 M_1 : A$ then $\Gamma \vdash M_1 : \Sigma x:A_1.A_2$ and $\Gamma \vdash A_2 [\pi_1 M_1/x] = A : \text{type}$.
8. If $\Gamma \vdash \langle \rangle : A$ then $\Gamma \vdash 1 = A : \text{type}$.
9. If $\Gamma \vdash a : K_1$ then $\Sigma(a) = K_2$ and $\Gamma \vdash K_1 = K_2 : \text{kind}$.
10. If $\Gamma \vdash \lambda x:A_1.A_2 : K$ then $\Gamma \vdash A_1 : \text{type}$ and $\Gamma, x:A_1 \vdash A_2 : K_1$ and $\Gamma \vdash \Pi x:A_1.K_1 = K : \text{kind}$.
11. If $\Gamma \vdash \Lambda M : K$ then $\Gamma \vdash A : \Pi x:A_1.K_1$, $\Gamma \vdash M : A_1$ and $\Gamma \vdash K_1 [M/x] = K : \text{kind}$.
12. If $\Gamma \vdash \Pi x:A_1.A_2 : K$ then $\Gamma \vdash A_1 : \text{type}$, $\Gamma, x:A_1 \vdash A_2 : \text{type}$ and $\Gamma \vdash \text{type} = K : \text{kind}$.
13. If $\Gamma \vdash \Sigma x:A_1.A_2 : K$ then $\Gamma \vdash A_1 : \text{type}$, $\Gamma, x:A_1 \vdash A_2 : \text{type}$ and $\Gamma \vdash \text{type} = K : \text{kind}$.
14. If $\Gamma \vdash 1 : K$ then $\Gamma \vdash \text{type} = K : \text{kind}$.

Proof

By induction on the derivation of the judgment. We show a few representative cases.

$$\text{Case 1: } \frac{\Gamma \vdash M : \Sigma x:A_1.A_2}{\Gamma \vdash \pi_2 M : A_2 [\pi_1 M/x]}$$

$$\begin{array}{l} \Gamma \vdash A_2 [\pi_1 M/x] : \text{type} \\ \Gamma \vdash A_2 [\pi_1 M/x] = A_2 [\pi_1 M/x] : \text{AAtype} \end{array}$$

By Regularity
By Reflexivity

$$\text{Case 2: } \frac{\Gamma \vdash \langle M_1, M_2 \rangle^A : A_1 \quad \Gamma \vdash A_1 = A_2 : \text{type}}{\Gamma \vdash \langle M_1, M_2 \rangle^A : A_2}$$

$$\begin{array}{l} \Gamma \vdash M_1 : A_3, \\ \Gamma \vdash M_2 : A_4 [M_1/x], \\ \Gamma \vdash \Sigma x:A_3.A_4 = A_1 : \text{type} \\ \Gamma \vdash \Sigma x:A_3.A_4 = A_2 : \text{type} \end{array}$$

By induction
By rule (transitivity)

□

Lemma 4.13 (Extending Equal Substitutions) *If $\Gamma : \text{ctx}$, $\Gamma_1 \vdash \sigma_1 = \sigma_2 : \Gamma$, $\Gamma \vdash A : \text{type}$ and $\Gamma \vdash M_1 = M_2 : A$ and $x \notin \text{Dom}(\Gamma)$ then $\Gamma_1 \vdash \sigma_1, M_1/x = \sigma_2, M_2/x : \Gamma, x:A$.*

Proof

Direct, by Regularity and Functionality for Equality.

□

Lemma 4.14 (Equality Inversion on Kinds)

1. If $\Gamma \vdash K = \text{type} : \text{kind}$ or $\Gamma \vdash \text{type} = K : \text{kind}$ then $K = \text{type}$.
2. If $\Gamma \vdash K' = \Pi x:A.K : \text{kind}$ or $\Gamma \vdash \Pi x:A.K = K' : \text{kind}$ then $K' = \Pi x:A_1.K_1$ with $\Gamma \vdash A_1 = A : \text{type}$ and $\Gamma \vdash K_1 = K : \text{kind}$.

Proof

By induction on derivations.

□

5 Injectivity

We need a property usually called injectivity. Briefly, this says that given equal dependent function types, the components of the product types are themselves equal (at the kind **type**). A similar property should hold for dependent pair types. In Harper and Pfenning [5], this follows by an easy induction analogous to the case for product kinds (Lemma 4.14). However, we now have a non-trivial equality relation at the level of types due to the presence of family-level abstractions and applications. The problem in an inductive proof comes with the use of the transitivity rule. While judging equality between two products (say), the mediating family need not be of the same form. To get around this, we follow Vanderwaart and Crary [7] and prove by the method of logical relations. In defining the logical relation we will need, we need the auxiliary notion of weak-head reduction. This notion is important in its own right, and will be reused in the definition of the equality algorithm.

5.1 Weak Head Reduction

$$\boxed{M_1 \xrightarrow{\text{whr}} M_2}$$

$$\frac{}{(\lambda x:A_1.M_2) M_1 \xrightarrow{\text{whr}} M_2 [M_1/x]} \quad \frac{M_1 \xrightarrow{\text{whr}} M_2}{M_1 M \xrightarrow{\text{whr}} M_2 M} \quad \frac{}{\pi_i \langle M_1, M_2 \rangle^A \xrightarrow{\text{whr}} M_i} \quad \frac{M_1 \xrightarrow{\text{whr}} M_2}{\pi_i M_1 \xrightarrow{\text{whr}} \pi_i M_2}$$

$$\boxed{A_1 \xrightarrow{\text{whr}} A_2}$$

$$\frac{}{(\lambda x:A_1.A_2) M \xrightarrow{\text{whr}} A_2 [M/x]} \quad \frac{A_1 \xrightarrow{\text{whr}} A_2}{A_1 M \xrightarrow{\text{whr}} A_2 M}$$

Lemma 5.1 (Determinacy of Weak Head Reduction)

1. If $M_1 \xrightarrow{\text{whr}} M_2$ and $M_1 \xrightarrow{\text{whr}} M_3$ then $M_2 = M_3$.
2. If $A_1 \xrightarrow{\text{whr}} A_2$ and $A_1 \xrightarrow{\text{whr}} A_3$ then $A_2 = A_3$.

Proof

By case analysis on the derivation of the first judgment. □

We write $\xrightarrow{\text{whr}}^*$ for the reflexive transitive closure of the weak-head reduction relation $\xrightarrow{\text{whr}}$. On occasion, we write $M \not\xrightarrow{\text{whr}}$ to indicate that there exists no M_1 such that $M \xrightarrow{\text{whr}} M_1$ according to the rules set out here. An analogous notation $A \not\xrightarrow{\text{whr}}$ is defined for families.

5.2 A Logical Relation

The logical relation we use is defined by induction on kinds. The notable feature is that at higher kinds, we require definitional equality instead of logical relatedness for the arguments. Since the structure of kinds (*i.e.* whether they are a dependent function kind or **type**) is not affected by substitution of object variables, the definition of the relation is well-founded.

- $\Gamma \vdash A_1 \approx A_2 : \llbracket \text{type} \rrbracket$ iff all these hold:
 - $A_1 \xrightarrow{\text{whr}}^* \Pi x:A_{11}.A_{12}$ iff $A_2 \xrightarrow{\text{whr}}^* \Pi x:A_{21}.A_{22}$.
 - $A_1 \xrightarrow{\text{whr}}^* \Sigma x:A_{11}.A_{12}$ iff $A_2 \xrightarrow{\text{whr}}^* \Sigma x:A_{21}.A_{22}$.

- If $A_1 \xrightarrow{\text{whr}^*} \Pi x:A_{11}.A_{12}$ and $A_2 \xrightarrow{\text{whr}^*} \Pi x:A_{21}.A_{22}$ then $\Gamma \vdash A_{11} = A_{21} : \text{type}$ and $\Gamma, x:A_{11} \vdash A_{12} = A_{22} : \text{type}$.
- If $A_1 \xrightarrow{\text{whr}^*} \Sigma x:A_{11}.A_{12}$ and $A_2 \xrightarrow{\text{whr}^*} \Sigma x:A_{21}.A_{22}$ then $\Gamma \vdash A_{11} = A_{21} : \text{type}$ and $\Gamma, x:A_{11} \vdash A_{12} = A_{22} : \text{type}$.

- $\Gamma \vdash A_1 \approx A_2 : \llbracket \Pi x:A.K \rrbracket$ iff for every pair of objects M_1 and M_2 such that $\Gamma \vdash M_1 = M_2 : A$ we have $\Gamma \vdash A_1 M_1 \approx A_2 M_2 : \llbracket K [M_1/x] \rrbracket$.
- $\Gamma \vdash K_1 \approx K_2 : \llbracket \text{kind} \rrbracket$ iff for every pair of families A_1 and A_2 , $\Gamma \vdash A_1 \approx A_2 : \llbracket K_1 \rrbracket$ iff $\Gamma \vdash A_1 \approx A_2 : \llbracket K_2 \rrbracket$.

The fundamental theorem of Logical Relations is that definitionally equal type families and kinds are logically related under all substitutions. To handle the symmetry and transitivity cases we will need that the logical relation itself is symmetric and transitive. To handle the abstraction case, we will need that the logical relation is closed under weak head expansion. To handle the family-level constant case, we will need that paths are logically related to each other. We now prove these standard lemmas before setting out to prove the fundamental lemma.

Lemma 5.2 (Paths are Logically Related) *If $\Sigma(a) = \Pi x_1:A_1 \dots \Pi x_k:A_k.K$ ($k \geq 0$) and $\Gamma \vdash M_i = M'_i : A_i [M_1 \dots M_{i-1}/x_1 \dots x_{i-1}]$ for all i , then $\Gamma \vdash a M_1 \dots M_i \approx a M'_1 \dots M'_i : \llbracket K [M_1 \dots M_i/x_1 \dots x_i] \rrbracket$.*

Proof

By induction on the size of the kind K . □

Lemma 5.3 (Closure Under Weak Head Expansion) *If $\Gamma \vdash A_{11} \approx A_{21} : \llbracket K \rrbracket$, $\Gamma \vdash A_{12} : K$, $\Gamma \vdash A_{22} : K$, $A_{12} \xrightarrow{\text{whr}^*} A_{11}$ and $A_{22} \xrightarrow{\text{whr}^*} A_{21}$ then $\Gamma \vdash A_{12} \approx A_{22} : \llbracket K \rrbracket$.*

Proof

By induction on the size of K . □

We shall need a small fact about substituting logically related families into kinds for proving symmetry of the logical relation.

Lemma 5.4 (Equivalent Substitutions of a Valid Kind Related) *If $\Gamma : \text{ctx}$, $\Gamma \vdash K : \text{kind}$, $\Gamma_1 \vdash \sigma_1 = \sigma_2 : \Gamma$ and $\Gamma_1 \vdash A_1 \approx A_2 : \llbracket K[\sigma_1] \rrbracket$ then $\Gamma_1 \vdash A_1 \approx A_2 : \llbracket K[\sigma_2] \rrbracket$.*

Proof

By induction on the size of K . □

We need symmetry and transitivity of the relation, for the main theorem.

Lemma 5.5 (Symmetry of Logical Relation) *If $\Gamma : \text{ctx}$, $\Gamma \vdash K : \text{kind}$, and $\Gamma \vdash A_1 \approx A_2 : \llbracket K \rrbracket$ then $\Gamma \vdash A_2 \approx A_1 : \llbracket K \rrbracket$.*

Proof

By induction on the size of K . We show only the product kind case.

Case 1: $K = \Pi x:A.K_1$

$\Gamma \vdash M_1 = M_2 : A$	New assumption
$\Gamma \vdash M_2 = M_1 : A$	By rule (symmetry)
$\Gamma \vdash A_1 M_2 \approx A_2 M_1 : \llbracket K_1 [M_2/x] \rrbracket$	By definition of relation
$\Gamma \vdash A_2 M_1 \approx A_1 M_2 : \llbracket K_1 [M_2/x] \rrbracket$	By induction
$\Gamma \vdash A : \text{type}$	By Inversion
$\vdash \Gamma, x:A : \text{ctx}$	By rule
$\Gamma \vdash \text{id}_\Gamma = \text{id}_\Gamma : \Gamma$	By reflexivity of substitution equality
$\Gamma \vdash \text{id}_\Gamma, M_2/x = \text{id}_\Gamma, M_1/x : \Gamma, x:A$	By Extending Substitutions by Equal Elements
$\Gamma \vdash A_1 M_2 \approx A_2 M_1 : \llbracket K_1 [M_1/x] \rrbracket$	By Equivalent Substitutions are Related
$\Gamma \vdash A_2 \approx A_1 : \llbracket \Pi x:A. K_1 \rrbracket$	By definition of relation

□

Lemma 5.6 (Transitivity of Logical Relation) *If $\vdash \Gamma : \text{ctx}$, $\Gamma \vdash K : \text{kind}$, $\Gamma \vdash A_1 \approx A_2 : \llbracket K \rrbracket$, and $\Gamma \vdash A_2 \approx A_3 : \llbracket K \rrbracket$ then $\Gamma \vdash A_1 \approx A_3 : \llbracket K \rrbracket$.*

Proof

By induction on the size of K .

□

We are now in a position to prove the fundamental lemma of logical relations.

Lemma 5.7 (Definitionally Equal Terms are Logically Related Under Substitutions)

1. *If $\Gamma \vdash A_1 = A_2 : K$ and $\Gamma_1 \vdash \sigma_1 = \sigma_2 : \Gamma$ and $\vdash \Gamma : \text{ctx}$ then $\Gamma_1 \vdash A_1[\sigma_1] \approx A_2[\sigma_2] : \llbracket K[\sigma_1] \rrbracket$.*
2. *If $\Gamma \vdash A_1 = A_2 : \text{kind}$ and $\Gamma_1 \vdash \sigma_1 = \sigma_2 : \Gamma$ and $\vdash \Gamma : \text{ctx}$ then $\Gamma_1 \vdash A_1[\sigma_1] \approx A_2[\sigma_2] : \llbracket \text{kind} \rrbracket$.*

Proof

By induction on derivations. We show some of the more interesting cases.

Case 1:
$$\frac{\Sigma(a) = K}{\Gamma \vdash a = a : K}$$

$\cdot \vdash K : \text{kind}$	By definition of valid signature
$FV(K) = \cdot$	By Free Variable Containment
$\Gamma_1 \vdash a \approx a : \llbracket K \rrbracket$	By Logically Related Paths
$\Gamma_1 \vdash a[\sigma_1] \approx a[\sigma_2] : \llbracket K[\sigma_1] \rrbracket$	By definition of substitution

Case 2:
$$\frac{\Gamma \vdash A_{11} = A_1 : \text{type} \quad \Gamma \vdash A_{21} = A_1 : \text{type} \quad \Gamma, x:A_1 \vdash A_{12} = A_{22} : K}{\Gamma \vdash \lambda x:A_{11}. A_{12} = \lambda x:A_{21}. A_{22} : \Pi x:A_1. K}$$

$\Gamma_1 \vdash M_1 = M_2 : A_1[\sigma_1]$	New hypothesis
$\Gamma \vdash A_1 : \text{type}$	By Regularity
$\vdash \Gamma, x:A_1 : \text{ctx}$	By rule
$\Gamma_1 \vdash \sigma_1, M_1/x = \sigma_2, M_2/x : \Gamma, x:A$	By Extending Substitutions by Equal Elements
$\Gamma_1 \vdash A_{12}[\sigma_1, M_1/x] \approx A_{22}[\sigma_2, M_2/x] : \llbracket K[\sigma_1, M_1/x] \rrbracket$	By induction
$\Gamma_1 \vdash (A_{12} M_1)[\sigma_1] \approx (A_{22} M_2)[\sigma_2] : \llbracket (K[M_1/x])[\sigma_1] \rrbracket$	From previous
$\Gamma_1 \vdash (\lambda x:A_{11}[\sigma_1]. A_{12}[\sigma_1]) M_1 \approx (\lambda x:A_{21}[\sigma_1]. A_{22}[\sigma_2]) M_2 : \llbracket (K[M_1/x])[\sigma_1] \rrbracket$	By Closure Under Expansion
$\Gamma_1 \vdash \lambda x:A_{11}[\sigma_1]. A_{12}[\sigma_1] \approx \lambda x:A_{21}[\sigma_1]. A_{22}[\sigma_2] : \llbracket \Pi x:A. K \rrbracket$	By definition of relation

$$\text{Case 3: } \frac{\Gamma \vdash A_1 = A_2 : \Pi x:A_3.K \quad \Gamma \vdash M_1 = M_2 : A_3}{\Gamma \vdash A_1 M_1 = A_2 M_2 : K[M_1/x]}$$

$$\begin{array}{l} \Gamma_1 \vdash A_1[\sigma_1] \approx A_2[\sigma_2] : [\Pi x:A_3[\sigma_1].K[\sigma_1]] \\ \Gamma_1 \vdash M_1[\sigma_1] = M_2[\sigma_2] : A_3[\sigma_1] \\ \Gamma_1 \vdash (A_1[\sigma_1])(M_1[\sigma_1]) \approx (A_2[\sigma_2])(M_2[\sigma_2]) : [(K[L\text{Fobj}_1[\sigma_1]/x])[\sigma_1]] \\ \Gamma_1 \vdash (A_1 M_1)[\sigma_1] \approx (A_2 M_2)[\sigma_2] : [(K[L\text{Fobj}_1[\sigma_1]/x])[\sigma_1]] \end{array} \begin{array}{l} \text{By induction} \\ \text{By Functionality of Equality} \\ \text{By definition of relation} \\ \text{By definition of substitution} \end{array}$$

$$\text{Case 4: } \frac{\Gamma \vdash A : \text{type} \quad \Gamma, x:A \vdash A_1 = A_2 : K \quad \Gamma \vdash M_1 = M_2 : A}{\Gamma \vdash (\lambda x:A.A_1) M_1 = A_2 [M_2/x] : K[M_1/x]}$$

$$\begin{array}{l} \Gamma_1 \vdash M_1[\sigma_1] = M_2[\sigma_2] : A[\sigma_1] \\ \Gamma \vdash A : \text{type} \\ \vdash \Gamma, x:A : \text{ctx} \\ \Gamma_1 \vdash \sigma_1, M_1[\sigma_1]/x = \sigma_2, M_2[\sigma_2]/x : \Gamma, x:A \\ \Gamma_1 \vdash A_1 [M_1[\sigma_1]/x] [\sigma_1] \approx A_2 [M_2[\sigma_2]/x] [\sigma_2] : [(K[M_1[\sigma_1]/x])[\sigma_1]] \\ \Gamma_1 \vdash (A_1[\sigma_1]) [M_1[\sigma_1]/x] \approx (A_2[\sigma_2]) [M_2[\sigma_2]/x] : [(K[\sigma_1]) [M_1[\sigma_1]/x]] \\ \Gamma_1 \vdash (\lambda x:A[\sigma_1].A_1[\sigma_1]) M_1[\sigma_1] \approx (A_2[\sigma_2]) [M_2[\sigma_2]/x] : [(K[\sigma_1]) [M_1[\sigma_1]/x]] \end{array} \begin{array}{l} \text{By Functionality of Equality} \\ \text{By assumption} \\ \text{By rule} \\ \text{By Extending Substitutions by Equal Elements} \\ \text{By induction} \\ \text{From previous} \\ \text{By Closure Under Expansion} \end{array}$$

$$\text{Case 5: } \frac{\Gamma \vdash A_{11} = A_{21} : \text{type} \quad \Gamma \vdash A_{11} : \text{type} \quad \Gamma, x:A_{11} \vdash A_{12} = A_{22} : \text{type}}{\Gamma \vdash \Sigma x:A_{11}.A_{12} = \Sigma x:A_{21}.A_{22} : \text{type}}$$

$$\begin{array}{l} \Gamma \xrightarrow{\text{whr}} \Sigma x:A_{11}[\sigma_1].A_{12}[\sigma_1]A_1 \text{ implies } A_1 = \Sigma x:A_{11}[\sigma_1].A_{12}[\sigma_1] \\ \Gamma \xrightarrow{\text{whr}} \Sigma x:A_{21}[\sigma_2].A_{22}[\sigma_2]A_2 \text{ implies } A_2 = \Sigma x:A_{21}[\sigma_2].A_{22}[\sigma_2] \\ \Gamma_1 \vdash A_{11}[\sigma_1] = A_{21}[\sigma_2] : \text{type} \\ \Gamma_1 \vdash A_{11}[\sigma_1] : \text{type} \\ \vdash \Gamma_1, x:A_{11}[\sigma_1] : \text{ctx} \\ \Gamma \vdash A_{11} : \text{type} \\ \vdash \Gamma, x:A_{11} : \text{ctx} \\ \Gamma_1, x:A_{11}[\sigma_1] \vdash \sigma_1, u/u = \sigma_2, u/u : \Gamma, x:A_{11} \\ \Gamma_1, x:A_{11} \vdash A_{12}[\sigma_1, u/u] : A_{22}[\sigma_2, u/u] \text{type} \\ \Gamma_1, x:A_{11} \vdash A_{12}[\sigma_1] : A_{22}[\sigma_2] \text{type} \\ \Gamma_1 \vdash \Sigma x:A_{11}.A_{12} \approx \Sigma x:A_{21}.A_{22} : [\text{type}] \end{array} \begin{array}{l} \text{By definition of reduction} \\ \text{By definition of reduction} \\ \text{By Functionality of Equality} \\ \text{By Regularity} \\ \text{By rule} \\ \text{By Regularity} \\ \text{By rule} \\ \text{By Extending Substitutions} \\ \text{By Functionality} \\ \text{From previous} \\ \text{By definition of relation} \end{array}$$

$$\text{Case 6: } \frac{\Gamma \vdash A_2 = A_1 : K}{\Gamma \vdash A_1 = A_2 : K}$$

$$\begin{array}{l} \Gamma_1 \vdash \sigma_2 = \sigma_1 : \Gamma \\ \Gamma_1 \vdash A_2[\sigma_2] \approx A_1[\sigma_1] : [(K[\sigma_2])] \\ \Gamma_1 \vdash A_1[\sigma_1] \approx A_2[\sigma_2] : [(K[\sigma_2])] \\ \Gamma_1 \vdash A_1[\sigma_1] \approx A_2[\sigma_2] : [(K[\sigma_1])] \end{array} \begin{array}{l} \text{By symmetry of substitution equality} \\ \text{By induction} \\ \text{By Symmetry of Relation} \\ \text{By Relation of Equal Substitutions} \end{array}$$

$$\text{Case 7: } \frac{\Gamma \vdash A_1 = A_2 : K \quad \Gamma \vdash A_2 = A_3 : K}{\Gamma \vdash A_1 = A_3 : K}$$

$\Gamma_1 \vdash \sigma_2 = \sigma_1 : \Gamma$	By symmetry of substitution equality
$\Gamma_1 \vdash \sigma_1 = \sigma_1 : \Gamma$	By transitivity of substitution equality
$\Gamma_1 \vdash A_1[\sigma_1] \approx A_2[\sigma_1] : \llbracket K[\sigma_1] \rrbracket$	By induction
$\Gamma_1 \vdash A_2[\sigma_1] \approx A_3[\sigma_2] : \llbracket K[\sigma_1] \rrbracket$	By induction
$\Gamma_1 \vdash A_1[\sigma_1] \approx A_3[\sigma_2] : \llbracket K[\sigma_1] \rrbracket$	By Transitivity of Relation

$$\text{Case 8: } \frac{\Gamma \vdash A_1 = A_2 : \text{type} \quad \Gamma \vdash A_1 : \text{type} \quad \Gamma, x:A_1 \vdash K_1 = K_2 : \text{kind}}{\Gamma \vdash \Pi x:A_1. K_1 = \Pi x:A_2. K_2 : \text{kind}}$$

The definition of relation at product kinds involves checking a bi-implication. We show one direction, the other is similar.

$\Gamma_1 \vdash A_3 \approx A_4 : \llbracket \Pi x:A_2[\sigma_2]. K_2[\sigma_2] \rrbracket$	New hypothesis
$\Gamma_1 \vdash M_1 = M_2 : A_1[\sigma_1]$	New hypothesis
$\Gamma_1 \vdash A_1[\sigma_1] = A_2[\sigma_2] : \text{type}$	By Functionality of Equality
$\Gamma_1 \vdash M_1 = M_2 : A_2[\sigma_2]$	By rule (type conversion)
$\Gamma_1 \vdash A_3 M_1 \approx A_4 M_2 : \llbracket K_2[\sigma_2] [M_1/x] \rrbracket$	By definition of relation
$\Gamma_1 \vdash \sigma_1, M_1/x = \sigma_2, M_1/x : \Gamma, x:A$	By Extending Substitution
$\Gamma_1 \vdash K_1[\sigma_1, M_1/x] \approx K_2[\sigma_2, M_1/x] : \llbracket \text{kind} \rrbracket$	By induction
$\Gamma_1 \vdash A_3 M_1 \approx A_4 M_2 : \llbracket K_1[\sigma_1] [M_1/x] \rrbracket$	By definition of relation
$\Gamma_1 \vdash A_3 \approx A_4 : \llbracket \Pi x:A_1[\sigma_1]. K_1[\sigma_1] \rrbracket$	By definition of relation

□

We can now prove the injectivity property we are interested in.

Theorem 5.8 (Injectivity of Products and Sums)

1. If $\Gamma \vdash \Pi x:A_{11}. A_{12} = \Pi x:A_{21}. A_{22} : \text{type}$ then $\Gamma \vdash A_{11} = A_{21} : \text{type}$ and $\Gamma, x:A_{11} \vdash A_{12} = A_{22} : \text{type}$.
2. If $\Gamma \vdash \Sigma x:A_{11}. A_{12} = \Sigma x:A_{21}. A_{22} : \text{type}$ then $\Gamma \vdash A_{11} = A_{21} : \text{type}$ and $\Gamma, x:A_{11} \vdash A_{12} = A_{22} : \text{type}$.

Proof

Direct, from the previous lemma and definition of the relation. We show one case, the other is analogous

Case 1: $\Gamma \vdash \Pi x:A_{11}. A_{12} = \Pi x:A_{21}. A_{22} : \text{type}$

$\Gamma \vdash \text{id}_\Gamma = \text{id}_\Gamma : \Gamma$	By reflexivity of substitutions
$\Gamma \vdash (\Pi x:A_{11}. A_{12})[\text{id}_\Gamma] \approx (\Pi x:A_{21}. A_{22})[\text{id}_\Gamma] : \llbracket \text{type} \rrbracket$	By Definitionally Equal Terms Related
$\Gamma \vdash \Pi x:A_{11}. A_{12} \approx \Pi x:A_{21}. A_{22} : \llbracket \text{type} \rrbracket$	From previous
$\Gamma \vdash A_{11} = A_{21} : \text{type}, \Gamma, x:A_{11} \vdash A_{12} = A_{22} : \text{type}$	By definition of relation

□

6 Equality Algorithm

An algorithm for deciding equality is given following Harper and Pfenning [5]. This uses “erased” types and kinds to direct the comparison. The problem with having unerased types and kinds is that since we have dependent types, we have to pick some object to substitute in for the application or projection cases. Then it is not obvious that the algorithm is symmetric, or transitive. Fortunately, the comparison depends only on the shape of the type or kind, which is what the erasure function gets at.

6.1 Erasure

We erase all dependencies in families and kinds for the algorithm. This process identifies types that differ only in the terms appearing in them.

Simple Kinds	$\kappa ::=$	type^-	simple kind of types
		$\tau \rightarrow \kappa$	simple arrow kind
Simple Types	$\tau ::=$	α	simple type constants
		$\tau_1 \rightarrow \tau_2$	simple arrow type
		$\tau_1 \times \tau_2$	simple product type
Simple Contexts	$\Delta ::=$	\cdot	empty
		$\Delta, x:\tau$	context extension

The erasure function is defined as follows:

$$\begin{array}{ll}
 (\mathbf{a})^- = \alpha & (\text{type})^- = \text{type}^- \\
 (\lambda x:A_1.A_2)^- = A_2^- & (\Pi x:A.K)^- = A^- \rightarrow K^- \\
 (A M)^- = A^- & (\cdot)^- = \cdot \\
 (\Pi x:A_1.A_2)^- = A_1^- \rightarrow A_2^- & (\Gamma, x:A)^- = \Gamma^-, x:A^- \\
 (\Sigma x:A_1.A_2)^- = A_1^- \times A_2^- & \\
 (\mathbf{1})^- = \mathbf{1}^- &
 \end{array}$$

We will need some basic facts about erasure. We notice that erasure eliminates dependencies on terms, and further, for type-level abstractions and eliminations, erasure returns just the head family. Given this informal description, it is not surprising that erasure is invariant on substitution, and further, definitionally equal types and kinds erase to the same simple type and kind.

Lemma 6.1 (Erasure Preservation)

1. For any A, x and M , $(A[M/x])^- = A^-$.
2. For any K, x and M , $(K[M/x])^- = K^-$.

Proof

By induction on the structure of the type family or kind in the premise. □

Lemma 6.2 (Erasure Preservation of Equality)

1. If $\Gamma \vdash A_1 = A_2 : K$ then $A_1^- = A_2^-$
2. If $\Gamma \vdash K_1 = K_2 : \text{kind}$ then $K_1^- = K_2^-$

Proof

By induction on the derivation of equality. □

Since we will case analyze on erased types, we need to say something about what type families erase to a particular erased type. We notice that a variety of equal types can erase to the same erased type, but fortunately, all of these have the same weak head normal form.

Lemma 6.3

1. If $\Gamma \vdash A : \text{type}$, $A^- = \mathbf{1}^-$ and $A \xrightarrow{\text{whr}}$, then $A = \mathbf{1}$.
2. If $\Gamma \vdash A : \text{type}$, $A^- = \tau_1 \rightarrow \tau_2$ and $A \xrightarrow{\text{whr}}$, then $A = \Pi x:A_1.A_2$.
3. If $\Gamma \vdash A : \text{type}$, $A^- = \tau_1 \times \tau_2$ and $A \xrightarrow{\text{whr}}$, then $A = \Sigma x:A_1.A_2$.

Proof

By inspection of the construction of A . □

6.2 Algorithmic Equality

We are now in a position to give the algorithm. This is given by five mutually recursive judgments. The idea of the algorithm is that extensionality is used whenever terms are compared at non-atomic types, to drive the type at which comparison is done to an atomic type. Once at atomic type, the comparison is done by weak-head normalizing both sides and comparing structurally. The structural phase compares the primitive head of the term, and uses the type-directed phase for terms down the spine. An analogous process is carried out at the level of type families.

6.2.1 Judgements

$\Delta \vdash M_1 \iff M_2 : \tau$	Type Directed Object Equality
$\Delta \vdash M_1 \longleftrightarrow M_2 : \tau$	Structural Object Equality
$\Delta \vdash \tau_1 \iff \tau_2 : \kappa$	Kind Directed Type Equality
$\Delta \vdash \tau_1 \longleftrightarrow \tau_2 : \kappa$	Structural Type Equality
$\Delta \vdash \kappa_1 \longleftrightarrow \kappa_2 : \text{kind}^-$	Structural Kind Equality

6.3 Inference Rules

$$\boxed{\Delta \vdash M_1 \iff M_2 : \tau}$$

$$\frac{M_1 \xrightarrow{\text{whr}} M_2 \quad \Delta \vdash M_2 \iff M : \alpha}{\Delta \vdash M_1 \iff M : \alpha}$$

$$\frac{M_1 \xrightarrow{\text{whr}} M_2 \quad \Delta \vdash M \iff M_2 : \alpha}{\Delta \vdash M \iff M_1 : \alpha}$$

$$\frac{\Delta \vdash M_1 \longleftrightarrow M_2 : \alpha}{\Delta \vdash M_1 \iff M_2 : \alpha}$$

$$\frac{\Delta, x : \tau_1 \vdash M_1 x \iff M_2 x : \tau_2}{\Delta \vdash M_1 \iff M_2 : \tau_1 \rightarrow \tau_2}$$

$$\frac{\Delta \vdash \pi_1 M_1 \iff \pi_1 M_2 : \tau_1 \quad \Delta \vdash \pi_2 M_1 \iff \pi_2 M_2 : \tau_2}{\Delta \vdash M_1 \iff M_2 : \tau_1 \times \tau_2}$$

$$\frac{}{\Delta \vdash M_1 \iff M_2 : 1}$$

$$\boxed{\Delta \vdash M_1 \longleftrightarrow M_2 : \tau}$$

$$\frac{\Sigma(c) = A}{\Delta \vdash c \longleftrightarrow c : A^-}$$

$$\frac{\Delta(x) = \tau}{\Delta \vdash x \longleftrightarrow x : \tau}$$

$$\frac{\Delta \vdash M_{11} \longleftrightarrow M_{21} : \tau_2 \rightarrow \tau_1 \quad \Delta \vdash M_{12} \longleftrightarrow M_{22} : \tau_2}{\Delta \vdash M_{11} M_{12} \longleftrightarrow M_{21} M_{22} : \tau_1}$$

$$\frac{\Delta \vdash M_1 \longleftrightarrow M_2 : \tau_1 \times \tau_2}{\Delta \vdash \pi_i M_1 \longleftrightarrow \pi_i M_2 : \tau_i}$$

$$\boxed{\Delta \vdash A_1 \iff A_2 : \kappa}$$

$$\frac{A_1 \xrightarrow{\text{whr}} A_2 \quad \Delta \vdash A_2 \iff A : \text{type}^-}{\Delta \vdash A_1 \iff A : \text{type}^-}$$

$$\frac{A_1 \xrightarrow{\text{whr}} A_2 \quad \Delta \vdash A \iff A_2 : \text{type}^-}{\Delta \vdash A \iff A_1 : \text{type}^-}$$

$$\frac{\Delta \vdash A_1 \longleftrightarrow A_2 : \text{type}^-}{\Delta \vdash A_1 \iff A_2 : \text{type}^-}$$

$$\frac{\Delta, x : \tau \vdash A_1 x \iff A_2 x : \kappa}{\Delta \vdash A_1 \iff A_2 : \tau \rightarrow \kappa}$$

$$\boxed{\Delta \vdash A_1 \longleftrightarrow A_2 : \kappa}$$

$$\frac{\Sigma(a) = K}{\Delta \vdash a \longleftrightarrow a : K^-}$$

$$\frac{}{\Delta \vdash 1 \longleftrightarrow 1 : \text{type}^-}$$

$$\frac{\Delta \vdash A_1 \longleftrightarrow A_2 : \tau \rightarrow \kappa \quad \Delta \vdash M_1 \longleftrightarrow M_2 : \tau}{\Delta \vdash A_1 M_1 \longleftrightarrow A_2 M_2 : \kappa}$$

$$\frac{\Delta \vdash A_{11} \longleftrightarrow A_{21} : \text{type}^- \quad \Delta, x:A_{11}^- \vdash A_{12} \longleftrightarrow A_{22} : \text{type}^-}{\Delta \vdash \Pi x:A_{11}.A_{12} \longleftrightarrow \Pi x:A_{21}.A_{22} : \text{type}^-}$$

$$\frac{\Delta \vdash A_{11} \longleftrightarrow A_{21} : \text{type}^- \quad \Delta, x:A_{11}^- \vdash A_{12} \longleftrightarrow A_{22} : \text{type}^-}{\Delta \vdash \Sigma x:A_{11}.A_{12} \longleftrightarrow \Sigma x:A_{21}.A_{22} : \text{type}^-}$$

$$\boxed{\Delta \vdash K_1 \longleftrightarrow K_2 : \text{kind}^-}$$

$$\frac{}{\Delta \vdash \text{type} \longleftrightarrow \text{type} : \text{kind}^-}$$

$$\frac{\Delta \vdash A_1 \longleftrightarrow A_2 : \text{type}^- \quad \Delta, x:A_1^- \vdash K_1 \longleftrightarrow K_2 : \text{kind}^-}{\Delta \vdash \Pi x:A_1.K_1 \longleftrightarrow \Pi x:A_2.K_2 : \text{kind}^-}$$

We will show this algorithm to be sound and complete for the equality judgments. We will need some basic facts about the algorithm, such as that weakening of the context is allowed, and the fact that the algorithm is deterministic.

Lemma 6.4 (Weakening of Algorithmic Equality) *For all algorithmic judgments \mathcal{J} , if $\Delta \vdash \mathcal{J}$ and $\Delta \subseteq \Delta^+$, then $\Delta^+ \vdash \mathcal{J}$.*

Proof

By induction on the first judgment. □

Lemma 6.5 (Determinacy of Algorithmic Equality)

1. If $\Delta \vdash M_1 \longleftrightarrow M_2 : \tau$ then $M_1 \xrightarrow{\text{yhr}} M_2$.
2. If $\Delta \vdash M_1 \longleftrightarrow M_2 : \tau$ then $M_2 \xrightarrow{\text{yhr}} M_1$.
3. If $\Delta \vdash M_1 \longleftrightarrow M_2 : \tau_1$ and $\Delta \vdash M_1 \longleftrightarrow M_3 : \tau_2$ then $\tau_1 = \tau_2$.
4. If $\Delta \vdash A_1 \longleftrightarrow A_2 : \kappa$ then $A_1 \xrightarrow{\text{yhr}} A_2$.
5. If $\Delta \vdash A_1 \longleftrightarrow A_2 : \kappa$ then $A_2 \xrightarrow{\text{yhr}} A_1$.
6. If $\Delta \vdash A_1 \longleftrightarrow A_2 : \kappa_1$ and $\Delta \vdash A_1 \longleftrightarrow A_3 : \kappa_2$ then $\kappa_1 = \kappa_2$.

Proof

By induction on the derivation of the premise. □

Lemma 6.6 (Erasure Preservation of Algorithmic Equality)

1. If $\Delta \vdash A_1 \longleftrightarrow A_2 : \kappa$ then $A_1^- = A_2^-$.
2. If $\Delta \vdash A_1 \longleftrightarrow A_2 : \kappa$ then $A_1^- = A_2^-$.

3. If $A_1 \xrightarrow{\text{whr}} A_2$ then $A_1^- = A_2^-$.

Proof

By induction on the derivation. □

To prove that the algorithm is complete, in the cases for the use of symmetry and transitivity rules, we will need that the algorithm itself is symmetric and transitive.

Lemma 6.7 (Symmetry of Algorithmic Equality)

1. If $\Delta \vdash M_1 \iff M_2 : \tau$ then $\Delta \vdash M_2 \iff M_1 : \tau$.
2. If $\Delta \vdash M_1 \iff M_2 : \tau$ then $\Delta \vdash M_2 \iff M_1 : \tau$.
3. If $\Delta \vdash A_1 \iff A_2 : \kappa$ then $\Delta \vdash A_2 \iff A_1 : \kappa$.
4. If $\Delta \vdash A_1 \iff A_2 : \kappa$ then $\Delta \vdash A_2 \iff A_1 : \kappa$.
5. If $\Delta \vdash K_1 \iff K_2 : \text{kind}^-$ then $\Delta \vdash K_2 \iff K_1 : \text{kind}^-$.

Proof

By induction on the derivation. □

Lemma 6.8 (Transitivity of Algorithmic Equality)

1. If $\Delta \vdash M_1 \iff M_2 : \tau$ and $\Delta \vdash M_2 \iff M_3 : \tau$, then $\Delta \vdash M_1 \iff M_3 : \tau$.
2. If $\Delta \vdash M_1 \iff M_2 : \tau$ and $\Delta \vdash M_2 \iff M_3 : \tau$, then $\Delta \vdash M_1 \iff M_3 : \tau$.
3. If $\Delta \vdash A_1 \iff A_2 : \kappa$ and $\Delta \vdash A_2 \iff A_3 : \kappa$, then $\Delta \vdash A_1 \iff A_3 : \kappa$.
4. If $\Delta \vdash A_1 \iff A_2 : \kappa$ and $\Delta \vdash A_2 \iff A_3 : \kappa$, then $\Delta \vdash A_1 \iff A_3 : \kappa$.
5. If $\Delta \vdash K_1 \iff K_2 : \text{kind}^-$ and $\Delta \vdash K_2 \iff K_3 : \text{kind}^-$, then $\Delta \vdash K_1 \iff K_3 : \text{kind}^-$.

Proof

By induction on the two judgments in the premises. □

7 Completeness of the Algorithm

The completeness of the algorithm is proved by means of a Kripke logical relation.

7.1 A Kripke Logical Relation

The logical relation we use is defined by induction on the simple types and kinds.

- $\Delta \vdash M_1 \text{ is } M_2 \text{ in } [\alpha]$ iff $\Delta \vdash M_1 \iff M_2 : \alpha$.
- $\Delta \vdash M_1 \text{ is } M_2 \text{ in } [\tau_1 \rightarrow \tau_2]$ iff for every context Δ_1 with $\Delta \subseteq \Delta_1$ and every pair of objects M'_1 and M'_2 such that $\Delta_1 \vdash M'_1 \text{ is } M'_2 \text{ in } [\tau_1]$ we have $\Delta_1 \vdash M_1 M'_1 \text{ is } M_2 M'_2 \text{ in } [\tau_2]$.
- $\Delta \vdash M_1 \text{ is } M_2 \text{ in } [\tau_1 \times \tau_2]$ iff $\Delta \vdash \pi_1 M_1 \text{ is } \pi_1 M_2 \text{ in } [\tau_1]$ and $\Delta \vdash \pi_2 M_1 \text{ is } \pi_2 M_2 \text{ in } [\tau_2]$.
- $\Delta \vdash M_1 \text{ is } M_2 \text{ in } [1^-]$ always.
- $\Delta \vdash A_1 \text{ is } A_2 \text{ in } [\text{type}^-]$ iff $\Delta \vdash A_1 \iff A_2 : \text{type}^-$.
- $\Delta \vdash A_1 \text{ is } A_2 \text{ in } [\tau \rightarrow \kappa]$ iff for every context Δ_1 with $\Delta \subseteq \Delta_1$ and every pair of objects M_1 and M_2 such that $\Delta_1 \vdash M_1 \text{ is } M_2 \text{ in } [\tau]$ we have $\Delta_1 \vdash A_1 M_1 \text{ is } A_2 M_2 \text{ in } [\kappa]$.
- $\Delta \vdash \sigma_1 \text{ is } \sigma_2 \text{ in } [\cdot]$ iff $\sigma_1 = \sigma_2 = \cdot$.
- $\Delta_1 \vdash \sigma_1 [M_1/x] \text{ is } \sigma_2 [M_2/x] \text{ in } [\Delta, x:\tau]$ iff $\Delta_1 \vdash \sigma_1 \text{ is } \sigma_2 \text{ in } [\Delta]$ and $\Delta_1 \vdash M_1 \text{ is } M_2 \text{ in } [\tau]$.

Lemma 7.1 (Logically Related Terms are Algorithmically Equal [Fundamental Lemma])

1. If $\Delta \vdash M_1 \text{ is } M_2 \text{ in } [\tau]$ then $\Delta \vdash M_1 \iff M_2 : \tau$.
2. If $\Delta \vdash A_1 \text{ is } A_2 \text{ in } [\kappa]$ then $\Delta \vdash A_1 \iff A_2 : \kappa$.
3. If $\Delta \vdash M_1 \iff M_2 : \tau$ then $\Delta \vdash M_1 \text{ is } M_2 \text{ in } [\tau]$.
4. If $\Delta \vdash A_1 \iff A_2 : \kappa$ then $\Delta \vdash A_1 \text{ is } A_2 \text{ in } [\kappa]$.

Proof

By simultaneous structural induction on the simple types and kinds in the premises. We will show parts 1 and 3, to do with terms. The argument for type families is similar.

Case 1: Part (1), $\tau = \alpha$.

By definition of relation, $\Delta \vdash M_1 \iff M_2 : \alpha$.

Case 2: Part (1), $\tau = \tau_1 \rightarrow \tau_2$.

$\Delta \vdash M_1 \text{ is } M_2 \text{ in } [\tau_1 \rightarrow \tau_2]$	By assumption
$\Delta, x:\tau_1 \vdash x \iff x : \tau_1$	By rule (variable)
$\Delta, x:\tau_1 \vdash x \text{ is } x \text{ in } [\tau_1]$	By induction (part 3)
$\Delta, x:\tau_1 \vdash M_1 x \text{ is } M_2 x \text{ in } [\tau_2]$	By definition of relation
$\Delta, x:\tau_1 \vdash M_1 x \iff M_2 x : \tau_2$	By induction (part 1) on smaller type τ_2
$\Delta \vdash M_1 \iff M_2 : \tau_1 \rightarrow \tau_2$	By rule (functions)

Case 3: Part (1), $\tau = \tau_1 \times \tau_2$.

$\Delta \vdash M_1 \text{ is } M_2 \text{ in } [\tau_1 \times \tau_2]$	By assumption
$\Delta \vdash \pi_1 M_1 \text{ is } \pi_1 M_2 \text{ in } [\tau_1],$	
$\Delta \vdash \pi_2 M_1 \text{ is } \pi_2 M_2 \text{ in } [\tau_2]$	By definition of relation
$\Delta \vdash \pi_1 M_1 \iff \pi_1 M_2 : \tau_1,$	
$\Delta \vdash \pi_2 M_1 \iff \pi_2 M_2 : \tau_2$	By induction (part 1)
$\Delta \vdash M_1 \iff M_2 : \tau_1 \times \tau_2$	By rule (products)

Case 4: Part (1), $\tau = 1^-$.

$\Delta \vdash M_1 \iff M_2 : 1^-$ By rule (unit)

Case 5: Part (3), $\tau = \alpha$.

$\Delta \vdash M_1 \iff M_2 : \alpha$ By assumption
 $\Delta \vdash M_1 \iff M_2 : \alpha$ By rule (constants)
 $\Delta \vdash M_1 \text{ is } M_2 \text{ in } \llbracket \alpha \rrbracket$ By definition of relation

Case 6: Part (3), $\tau = \tau_1 \rightarrow \tau_2$.

$\Delta \vdash M_1 \iff M_2 : \tau_1 \rightarrow \tau_2$ By assumption
 $\Delta_1 \vdash M_{11} \text{ is } M_{21} \text{ in } \llbracket \tau_1 \rrbracket$ for an arbitrary $\Delta_1 \supseteq \Delta$ New hypothesis
 $\Delta_1 \vdash M_{11} \iff M_{21} : \tau_1$ By induction (part 1)
 $\Delta_1 \vdash M_1 \iff M_2 : \tau_1 \rightarrow \tau_2$ By Weakening
 $\Delta_1 \vdash M_1 M_{11} \iff M_2 M_{21} : \tau_2$ By rule (application)
 $\Delta_1 \vdash M_1 M_{11} \text{ is } M_2 M_{21} \text{ in } \llbracket \tau_2 \rrbracket$ By induction (part 3) on smaller type τ_2
 $\Delta \vdash M_1 \text{ is } M_2 \text{ in } \llbracket \tau_1 \rightarrow \tau_2 \rrbracket$ By definition of rule

Case 7: Part (3), $\tau = \tau_1 \times \tau_2$.

$\Delta \vdash M_1 \iff M_2 : \tau_1 \times \tau_2$ By assumption
 $\Delta \vdash \pi_i M_1 \iff \pi_i M_2 : \tau_i$ By rule (projection)
 $\Delta \vdash \pi_i M_1 \text{ is } \pi_i M_2 \text{ in } \llbracket \tau_i \rrbracket$ By induction (part 3)
 $\Delta \vdash M_1 \text{ is } M_2 \text{ in } \llbracket \tau_1 \times \tau_2 \rrbracket$ By definition of relation

Case 8: Part (3), $\tau = 1^-$.

$\Delta \vdash M_1 \text{ is } M_2 \text{ in } \llbracket 1^- \rrbracket$ By definition of relation

□

Similar to the simpler logical relations argument for proving injectivity, we will need closure under head expansion for proving completeness for the abstraction case. We will also need the symmetry and transitivity of the logical relations, which will use the corresponding properties at base types and lift to function and product types.

Lemma 7.2 (Closure Under Head Expansion)

1. If $M_{11} \xrightarrow{\text{whr}} M_{12}$ and $\Delta \vdash M_{12} \text{ is } M_2 \text{ in } \llbracket \tau \rrbracket$ then $\Delta \vdash M_{11} \text{ is } M_2 \text{ in } \llbracket \tau \rrbracket$.
2. If $A_{11} \xrightarrow{\text{whr}} A_{12}$ and $\Delta \vdash A_{12} \text{ is } A_2 \text{ in } \llbracket \kappa \rrbracket$ then $\Delta \vdash A_{11} \text{ is } A_2 \text{ in } \llbracket \kappa \rrbracket$.
3. If $M_{21} \xrightarrow{\text{whr}} M_{22}$ and $\Delta \vdash M_1 \text{ is } M_{22} \text{ in } \llbracket \tau \rrbracket$ then $\Delta \vdash M_1 \text{ is } M_{21} \text{ in } \llbracket \tau \rrbracket$.
4. If $A_{21} \xrightarrow{\text{whr}} A_{22}$ and $\Delta \vdash A_1 \text{ is } A_{22} \text{ in } \llbracket \kappa \rrbracket$ then $\Delta \vdash A_1 \text{ is } A_{21} \text{ in } \llbracket \kappa \rrbracket$.

Proof

By induction on the simple type or kind in the premises. □

Lemma 7.3 (Weakening of Logical Relations)

1. If $\Delta \vdash M_1$ is M_2 in $[\tau]$ and $\Delta \subseteq \Delta^+$, then $\Delta^+ \vdash M_1$ is M_2 in $[\tau]$.
2. If $\Delta \vdash A_1$ is A_2 in $[\kappa]$ and $\Delta \subseteq \Delta^+$, then $\Delta^+ \vdash A_1$ is A_2 in $[\kappa]$.
3. If $\Delta \vdash \sigma_1$ is σ_2 in $[\Delta_1]$ and $\Delta \subseteq \Delta^+$, then $\Delta^+ \vdash \sigma_1$ is σ_2 in $[\Delta_1]$.

Proof

By induction on the simple type, kind or context in the premise. □

Lemma 7.4 (Symmetry of Logical Relations)

1. If $\Delta \vdash M_1$ is M_2 in $[\tau]$ then $\Delta \vdash M_2$ is M_1 in $[\tau]$.
2. If $\Delta \vdash A_1$ is A_2 in $[\kappa]$ then $\Delta \vdash A_2$ is A_1 in $[\kappa]$.
3. If $\Delta \vdash \sigma_1$ is σ_2 in $[\Delta_1]$ then $\Delta \vdash \sigma_2$ is σ_1 in $[\Delta_1]$.

Proof

By induction on the simple type, kind or context in the premise. We will show the cases for objects. The other cases are similar.

Case 1: $\tau = \alpha$

$\Delta \vdash M_1$ is M_2 in $[\alpha]$	By assumption
$\Delta \vdash M_1 \iff M_2 : \alpha$	By definition of relation
$\Delta \vdash M_2 \iff M_1 : \alpha$	By Symmetry of Algorithm
$\Delta \vdash M_2$ is M_1 in $[\alpha]$	By definition of relation

Case 2: $\tau = \tau_1 \rightarrow \tau_2$

$\Delta \vdash M_1$ is M_2 in $[\tau_1 \rightarrow \tau_2]$	By assumption
$\Delta^+ \vdash M_{21}$ is M_{11} in $[\tau_1]$ for $\Delta^+ \supseteq \Delta$	New hypothesis
$\Delta^+ \vdash M_{11}$ is M_{21} in $[\tau_1]$	By induction
$\Delta^+ \vdash M_1 M_{11}$ is $M_2 M_{21}$ in $[\tau_2]$	By definition of relation
$\Delta^+ \vdash M_2 M_{21}$ is $M_1 M_{11}$ in $[\tau_2]$	By induction
$\Delta \vdash M_2$ is M_1 in $[\tau_1 \rightarrow \tau_2]$	By definition of relation

Case 3: $\tau = \tau_1 \times \tau_2$

$\Delta \vdash M_1$ is M_2 in $[\tau_1 \times \tau_2]$	By assumption
$\Delta \vdash \pi_i M_1$ is $\pi_i M_2$ in $[\tau_i]$	By definition of relation
$\Delta \vdash \pi_i M_2$ is $\pi_i M_1$ in $[\tau_i]$	By induction
$\Delta \vdash M_2$ is M_1 in $[\tau_1 \rightarrow \tau_2]$	By definition of relation

Case 4: $\tau = 1^-$

$\Delta \vdash M_2$ is M_1 in $\llbracket 1^- \rrbracket$

By definition of relation

□

Lemma 7.5 (Transitivity of Logical Relations)

1. If $\Delta \vdash M_1$ is M_2 in $\llbracket \tau \rrbracket$ and $\Delta \vdash M_2$ is M_3 in $\llbracket \tau \rrbracket$, then $\Delta \vdash M_1$ is M_3 in $\llbracket \tau \rrbracket$.
2. If $\Delta \vdash A_1$ is A_2 in $\llbracket \kappa \rrbracket$ and $\Delta \vdash A_2$ is A_3 in $\llbracket \kappa \rrbracket$, then $\Delta \vdash A_1$ is A_3 in $\llbracket \kappa \rrbracket$.
3. If $\Delta \vdash \sigma_1$ is σ_2 in $\llbracket \Delta_1 \rrbracket$ and $\Delta \vdash \sigma_2$ is σ_3 in $\llbracket \Delta_1 \rrbracket$, then $\Delta \vdash \sigma_1$ is σ_3 in $\llbracket \Delta_1 \rrbracket$.

Proof

By induction on the simple type, kind or context in the premise. We will show the cases for objects. The other cases are similar.

Case 1: $\tau = \alpha$

$\Delta \vdash M_1$ is M_2 in $\llbracket \alpha \rrbracket$	By assumption
$\Delta \vdash M_2$ is M_3 in $\llbracket \alpha \rrbracket$	By assumption
$\Delta \vdash M_1 \iff M_2 : \alpha$	By definition of relation
$\Delta \vdash M_2 \iff M_3 : \alpha$	By definition of relation
$\Delta \vdash M_1 \iff M_3 : \alpha$	By Transitivity of Algorithm
$\Delta \vdash M_1$ is M_3 in $\llbracket \alpha \rrbracket$	By definition of relation

Case 2: $\tau = \tau_1 \rightarrow \tau_2$

$\Delta \vdash M_1$ is M_2 in $\llbracket \tau_1 \rightarrow \tau_2 \rrbracket$	By assumption
$\Delta \vdash M_2$ is M_3 in $\llbracket \tau_1 \rightarrow \tau_2 \rrbracket$	By assumption
$\Delta^+ \vdash M_{11}$ is M_{21} in $\llbracket \tau_1 \rrbracket$	for $\Delta^+ \supseteq \Delta$ New hypothesis
$\Delta^+ \vdash M_{21}$ is M_{11} in $\llbracket \tau_1 \rrbracket$	By Symmetry of Relation
$\Delta^+ \vdash M_{21}$ is M_{21} in $\llbracket \tau_1 \rrbracket$	By induction
$\Delta^+ \vdash M_1 M_{11}$ is $M_2 M_{21}$ in $\llbracket \tau_2 \rrbracket$	By definition of relation
$\Delta^+ \vdash M_2 M_{21}$ is $M_3 M_{21}$ in $\llbracket \tau_2 \rrbracket$	By definition of relation
$\Delta^+ \vdash M_1 M_{11}$ is $M_3 M_{21}$ in $\llbracket \tau_2 \rrbracket$	By induction
$\Delta \vdash M_1$ is M_3 in $\llbracket \tau_1 \rightarrow \tau_2 \rrbracket$	By definition of relation

Case 3: $\tau = \tau_1 \times \tau_2$

$\Delta \vdash M_1$ is M_2 in $\llbracket \tau_1 \times \tau_2 \rrbracket$	By assumption
$\Delta \vdash M_2$ is M_3 in $\llbracket \tau_1 \times \tau_2 \rrbracket$	By assumption
$\Delta \vdash \pi_i M_1$ is $\pi_i M_2$ in $\llbracket \tau_i \rrbracket$	By definition of relation
$\Delta \vdash \pi_i M_2$ is $\pi_i M_3$ in $\llbracket \tau_i \rrbracket$	By definition of relation
$\Delta \vdash \pi_i M_1$ is $\pi_i M_3$ in $\llbracket \tau_i \rrbracket$	By induction
$\Delta \vdash M_1$ is M_3 in $\llbracket \tau_1 \rightarrow \tau_2 \rrbracket$	By definition of relation

Case 4: $\tau = 1^-$

$\Delta \vdash M_1$ is M_3 in $\llbracket 1^- \rrbracket$	By definition of relation
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□

We now come to the main theorem about logical relations. This will enable us to directly get completeness of algorithmic equality.

Lemma 7.6 (Definitionally Equal Terms are Logically Related Under Substitutions)

1. If $\Gamma \vdash M_1 = M_2 : A$ and $\Delta \vdash \sigma_1$ is σ_2 in $[\Gamma^-]$ then $\Delta \vdash M_1[\sigma_1]$ is $M_2[\sigma_2]$ in $[A^-]$.
2. If $\Gamma \vdash A_1 = A_2 : K$ and $\Delta \vdash \sigma_1$ is σ_2 in $[\Gamma^-]$ then $\Delta \vdash A_1[\sigma_1]$ is $A_2[\sigma_2]$ in $[K^-]$.

Proof

By induction on the judgment of definitional equality. We will show some representative cases.

Case 1:
$$\frac{\Gamma(x) = A}{\Gamma \vdash x = x : A}$$

$$\begin{array}{l} \Delta \vdash \sigma_1 \text{ is } \sigma_2 \text{ in } [\Gamma^-] \\ \Delta \vdash M_1 \text{ is } M_2 \text{ in } [A^-] \\ \Delta \vdash x[\sigma_1] \text{ is } x[\sigma_2] \text{ in } [A^-] \end{array} \quad \begin{array}{l} \text{for } [M_1/x] \in \sigma_1, [M_2/x] \in \sigma_2 \\ \text{By assumption} \\ \text{By definition of relation} \\ \text{By definition of substitution} \end{array}$$

Case 2:
$$\frac{\Sigma(c) = A}{\Gamma \vdash c = c : A}$$

$$\begin{array}{l} \Delta \vdash c \longleftrightarrow c : A^- \\ \Delta \vdash c \text{ is } c \text{ in } [A^-] \\ \Delta \vdash c[\sigma_1] \text{ is } c[\sigma_2] \text{ in } [A^-] \end{array} \quad \begin{array}{l} \text{By rule (constant)} \\ \text{By Fundamental Theorem} \\ \text{By definition of substitution} \end{array}$$

Case 3:
$$\frac{\Gamma \vdash M_{11} = M_{21} : \Pi x:A_2.A_1 \quad \Gamma \vdash M_{12} = M_{22} : A_2}{\Gamma \vdash M_{11} M_{12} = M_{21} M_{22} : A_1 [M_{12}/x]}$$

$$\begin{array}{l} \Delta \vdash M_{11}[\sigma_1] \text{ is } M_{21}[\sigma_2] \text{ in } [A_2^- \rightarrow A_1^-] \\ \Delta \vdash M_{12}[\sigma_1] \text{ is } M_{22}[\sigma_2] \text{ in } [A_2^-] \\ \Delta \vdash (M_{11}[\sigma_1]) (M_{12}[\sigma_2]) \text{ is } (M_{21}[\sigma_2]) (M_{22}[\sigma_2]) \text{ in } [A_1^-] \\ \Delta \vdash (M_{11} M_{12}[\sigma_1]) \text{ is } (M_{21} M_{22}[\sigma_2]) \text{ in } [A_1^-] \end{array} \quad \begin{array}{l} \text{By induction} \\ \text{By induction} \\ \text{By definition of relation} \\ \text{By definition of substitution} \end{array}$$

Case 4:
$$\frac{\Gamma \vdash A_{11} = A_1 : \text{type} \quad \Gamma \vdash A_{12} = A_1 : \text{type} \quad \Gamma, x:A_1 \vdash M_1 = M_2 : A_2}{\Gamma \vdash \lambda x:A_{11}.M_1 = \lambda x:A_{12}.M_2 : \Pi x:A_1.A_2}$$

$$\begin{array}{l} \Delta^+ \vdash M_{11} \text{ is } M_{21} \text{ in } [A_1^-] \\ \Delta^+ \vdash \sigma_1 \text{ is } \sigma_2 \text{ in } [\Gamma^-] \\ \Delta^+ \vdash \sigma_1, M_{11}/x \text{ is } \sigma_2, M_{21}/x \text{ in } [\Gamma^-, x:A_1^-] \\ \Delta^+ \vdash M_1[\sigma_1, M_{11}/x] \text{ is } M_2[\sigma_2, M_{21}/x] \text{ in } [A_2^-] \\ \Delta^+ \vdash (\lambda x:A_{11}.M_1[\sigma_1, x/x]) M_{11} \text{ is } M_2[\sigma_2, M_{21}/x] \text{ in } [A_2^-] \\ \Delta^+ \vdash (\lambda x:A_{11}.M_1[\sigma_1, x/x]) M_{11} \text{ is } (\lambda x:A_{12}.M_2[\sigma_2, x/x]) M_{21} \text{ in } [A_2^-] \\ \Delta^+ \vdash ((\lambda x:A_{11}.M_1)[\sigma_1]) M_{11} \text{ is } ((\lambda x:A_{12}.M_2)[\sigma_2]) M_{21} \text{ in } [A_2^-] \\ \Delta \vdash (\lambda x:A_{11}.M_1)[\sigma_1] \text{ is } (\lambda x:A_{12}.M_2)[\sigma_2] \text{ in } [A_1^- \rightarrow A_2^-] \end{array} \quad \begin{array}{l} \text{for } \Delta^+ \supseteq \Delta \\ \text{New hypothesis} \\ \text{By weakening of relation} \\ \text{By definition of relation} \\ \text{By induction} \\ \text{By Closure Under Head Expansion} \\ \text{By Closure Under Head Expansion} \\ \text{By definition of substitution} \\ \text{By definition of relation} \end{array}$$

$$\text{Case 5: } \frac{\Gamma \vdash A_1 : \text{type} \quad \Gamma \vdash M_1 : \Pi x:A_1.A_2 \quad \Gamma \vdash M_2 : \Pi x:A_1.A_2 \quad \Gamma, x:A_1 \vdash M_1 x = M_2 x : A_2}{\Gamma \vdash M_1 = M_2 : \Pi x:A_1.A_2}$$

$$\begin{array}{l} \Delta^+ \vdash M_{11} \text{ is } M_{21} \text{ in } \llbracket A_1^- \rrbracket \quad \text{for } \Delta^+ \supseteq \Delta \quad \text{New hypothesis} \\ \Delta^+ \vdash \sigma_1 \text{ is } \sigma_2 \text{ in } \llbracket \Gamma^- \rrbracket \quad \text{By weakening of relation} \\ \Delta^+ \vdash \sigma_1, M_{11}/x \text{ is } \sigma_2, M_{21}/x \text{ in } \llbracket \Gamma^-, x:A_1^- \rrbracket \quad \text{By definition of relation} \\ \Delta^+ \vdash (M_1 x)[\sigma_1, M_{11}/x] \text{ is } (M_2 x)[\sigma_2, M_{21}/x] \text{ in } \llbracket A_2^- \rrbracket \quad \text{By induction} \\ \Delta^+ \vdash (M_1[\sigma_1]) M_{11} \text{ is } (M_2[\sigma_2]) M_{21} \text{ in } \llbracket A_2^- \rrbracket \quad \text{By definition of substitution} \\ \Delta \vdash M_1[\sigma_1] \text{ is } M_2[\sigma_2] \text{ in } \llbracket A_1^- \rightarrow A_2^- \rrbracket \quad \text{By definition of relation} \end{array}$$

$$\text{Case 6: } \frac{\Gamma \vdash A_1 : \text{type} \quad \Gamma, x:A_1 \vdash M_{12} = M_{22} : A_2 \quad \Gamma \vdash M_{11} = M_{21} : A_1}{\Gamma \vdash (\lambda x:A_1. M_{12}) M_{11} = M_{22} [M_{21}/x] : A_2 [M_{11}/x]}$$

$$\begin{array}{l} \Delta \vdash \sigma_1 \text{ is } \sigma_2 \text{ in } \llbracket \Gamma^- \rrbracket \quad \text{By assumption} \\ \Delta \vdash M_{11} \text{ is } M_{21} \text{ in } \llbracket A_1^- \rrbracket \quad \text{By induction} \\ \Delta \vdash \sigma_1, (M_{11}[\sigma_1])/x \text{ is } \sigma_2, (M_{21}[\sigma_2])/x \text{ in } \llbracket \Gamma^-, x:A_1^- \rrbracket \quad \text{By definition of relation} \\ \Delta \vdash M_{12}[\sigma_1, (M_{11}[\sigma_1])/x] \text{ is } M_{22}[\sigma_2, (M_{21}[\sigma_2])/x] \text{ in } \llbracket A_2^- \rrbracket \quad \text{By induction} \\ \Delta \vdash (M_{12}[\sigma_1, x/x])[(M_{11}[\sigma_1])/x] \text{ is } M_{22}[\sigma_2, (M_{21}[\sigma_2])/x] \text{ in } \llbracket A_2^- \rrbracket \quad \text{By definition of substitution} \\ \Delta \vdash (M_{12}[\sigma_1, x/x])[(M_{11}[\sigma_1])/x] \text{ is } (M_{22}[M_{21}/x])[\sigma_2] \text{ in } \llbracket A_2^- \rrbracket \quad \text{By definition of substitution} \\ \Delta \vdash (\lambda x:A_1. M_{12}[\sigma_1, x/x]) M_{11}[\sigma_1] \text{ is } (M_{22}[M_{21}/x])[\sigma_2] \text{ in } \llbracket A_2^- \rrbracket \quad \text{By Closure Under Head Expansion} \\ \Delta \vdash (\lambda x:A_1. M_{12} M_{11})[\sigma_1] \text{ is } (M_{22}[M_{21}/x])[\sigma_2] \text{ in } \llbracket A_2^- \rrbracket \quad \text{By definition of substitution} \\ \Delta \vdash (\lambda x:A_1. M_{12} M_{11})[\sigma_1] \text{ is } (M_{22}[M_{21}/x])[\sigma_2] \text{ in } \llbracket A_2 [M_{11}/x]^- \rrbracket \quad \text{By Erasure Preservation} \end{array}$$

$$\text{Case 7: } \frac{\Gamma \vdash \Sigma x:A_1.A_2 : \text{type} \quad \Gamma \vdash M_{11} = M_{21} : A_1 \quad \Gamma \vdash M_{12} = M_{22} : A_2 [M_{11}/x]}{\Gamma \vdash \langle M_{11}, M_{12} \rangle^{\Sigma x:A_1.A_2} = \langle M_{21}, M_{22} \rangle^{\Sigma x:A_1.A_2} : \Sigma x:A_1.A_2}$$

$$\begin{array}{l} \Delta \vdash M_{11}[\sigma_1] \text{ is } M_{21}[\sigma_2] \text{ in } \llbracket A_1^- \rrbracket \quad \text{By induction} \\ \Delta \vdash \pi_1 \langle (M_{11}[\sigma_1]), (M_{12}[\sigma_1]) \rangle^{\langle \Sigma x:A_1.A_2[\sigma_1] \rangle} \text{ is } M_{21}[\sigma_2] \text{ in } \llbracket A_1^- \rrbracket \quad \text{By Closure Under Head Expansion} \\ \Delta \vdash \pi_1 \langle (M_{11}[\sigma_1]), (M_{12}[\sigma_1]) \rangle^{\langle \Sigma x:A_1.A_2[\sigma_1] \rangle} \text{ is } \pi_1 \langle (M_{21}[\sigma_2]), (M_{22}[\sigma_2]) \rangle^{\langle \Sigma x:A_1.A_2[\sigma_2] \rangle} \text{ in } \llbracket A_1^- \rrbracket \quad \text{By Closure Under Head Expansion} \\ \Delta \vdash \pi_1 \langle (M_{11}, M_{12})^{\Sigma x:A_1.A_2}[\sigma_1] \rangle \text{ is } \pi_1 \langle (M_{21}, M_{22})^{\Sigma x:A_1.A_2}[\sigma_2] \rangle \text{ in } \llbracket A_1^- \rrbracket \quad \text{By definition of substitution} \\ \Delta \vdash M_{12}[\sigma_1] \text{ is } M_{22}[\sigma_2] \text{ in } \llbracket A_2^- \rrbracket \quad \text{By induction} \\ \Delta \vdash \pi_2 \langle (M_{11}[\sigma_1]), (M_{12}[\sigma_1]) \rangle^{\langle \Sigma x:A_1.A_2[\sigma_1] \rangle} \text{ is } M_{22}[\sigma_2] \text{ in } \llbracket A_2^- \rrbracket \quad \text{By Closure Under Head Expansion} \\ \Delta \vdash \pi_2 \langle (M_{11}[\sigma_1]), (M_{12}[\sigma_1]) \rangle^{\langle \Sigma x:A_1.A_2[\sigma_1] \rangle} \text{ is } \pi_2 \langle (M_{21}[\sigma_2]), (M_{22}[\sigma_2]) \rangle^{\langle \Sigma x:A_1.A_2[\sigma_2] \rangle} \text{ in } \llbracket A_2^- \rrbracket \quad \text{By Closure Under Head Expansion} \\ \Delta \vdash \pi_2 \langle (M_{11}, M_{12})^{\Sigma x:A_1.A_2}[\sigma_1] \rangle \text{ is } \pi_2 \langle (M_{21}, M_{22})^{\Sigma x:A_1.A_2}[\sigma_2] \rangle \text{ in } \llbracket A_2^- \rrbracket \quad \text{By definition of substitution} \\ \Delta \vdash \langle (M_{11}, M_{12})^{\Sigma x:A_1.A_2} \rangle[\sigma_1] \text{ is } \langle (M_{21}, M_{22})^{\Sigma x:A_1.A_2} \rangle[\sigma_2] \text{ in } \llbracket A_1^- \times A_2^- \rrbracket \quad \text{By definition of relation} \end{array}$$

$$\text{Case 8: } \frac{\Gamma \vdash M_1 = M_2 : \Sigma x:A_1.A_2}{\Gamma \vdash \pi_1 M_1 = \pi_1 M_2 : A_1}$$

$$\begin{array}{l} \Delta \vdash M_1[\sigma_1] \text{ is } M_2[\sigma_2] \text{ in } \llbracket A_1^- \times A_2^- \rrbracket \quad \text{By induction} \\ \Delta \vdash \pi_1(M_1[\sigma_1]) \text{ is } \pi_1(M_2[\sigma_2]) \text{ in } \llbracket A_1^- \rrbracket \quad \text{By definition of relation} \\ \Delta \vdash (\pi_1 M_1)[\sigma_1] \text{ is } (\pi_1 M_2)[\sigma_2] \text{ in } \llbracket A_1^- \rrbracket \quad \text{By definition of substitution} \end{array}$$

$$\text{Case 9: } \frac{\Gamma \vdash M_1 = M_2 : \Sigma x:A_1.A_2}{\Gamma \vdash \pi_2 M_1 = \pi_2 M_2 : A_2 [\pi_1 M_1/x]}$$

$$\begin{aligned} \Delta \vdash M_1[\sigma_1] \text{ is } M_2[\sigma_2] \text{ in } \llbracket A_1^- \times A_2^- \rrbracket \\ \Delta \vdash \pi_2(M_1[\sigma_1]) \text{ is } \pi_2(M_2[\sigma_2]) \text{ in } \llbracket A_2^- \rrbracket \\ \Delta \vdash (\pi_2 M_1)[\sigma_1] \text{ is } (\pi_2 M_2)[\sigma_2] \text{ in } \llbracket A_2^- \rrbracket \end{aligned}$$

By induction
By definition of relation
By definition of substitution

$$\text{Case 10: } \frac{\Gamma \vdash M_1 : 1 \quad \Gamma \vdash M_2 : 1}{\Gamma \vdash M_1 = M_2 : 1}$$

$$\Delta \vdash M_1[\sigma_1] \text{ is } M_2[\sigma_2] \text{ in } \llbracket 1^- \rrbracket$$

By definition of relation

$$\text{Case 11: } \frac{\Gamma \vdash M_1 = M_3 : A_1 \quad \Gamma \vdash M_2 : A_2}{\Gamma \vdash \pi_1 \langle M_1, M_2 \rangle^A = M_3 : A_1}$$

$$\begin{aligned} \Delta \vdash M_1[\sigma_1] \text{ is } M_3[\sigma_2] \text{ in } \llbracket A_1^- \rrbracket \\ \Delta \vdash \pi_1 \langle (M_1[\sigma_1]), (M_2[\sigma_1]) \rangle^{(A[\sigma_1])} \text{ is } M_3[\sigma_2] \text{ in } \llbracket A_1^- \rrbracket \\ \Delta \vdash (\pi_1 \langle M_1, M_2 \rangle^A)[\sigma_1] \text{ is } M_3[\sigma_2] \text{ in } \llbracket A_1^- \rrbracket \end{aligned}$$

By induction
By Closure Under Head Expansion
By definition of substitution

$$\text{Case 12: } \frac{\Gamma \vdash M_1 : A_1 \quad \Gamma \vdash M_2 = M_3 : A_2}{\Gamma \vdash \pi_2 \langle M_1, M_2 \rangle^A = M_3 : A_2}$$

$$\begin{aligned} \Delta \vdash M_2[\sigma_1] \text{ is } M_3[\sigma_2] \text{ in } \llbracket A_2^- \rrbracket \\ \Delta \vdash \pi_2 \langle (M_1[\sigma_1]), (M_2[\sigma_1]) \rangle^{(A[\sigma_1])} \text{ is } M_3[\sigma_2] \text{ in } \llbracket A_2^- \rrbracket \\ \Delta \vdash (\pi_2 \langle M_1, M_2 \rangle^A)[\sigma_1] \text{ is } M_3[\sigma_2] \text{ in } \llbracket A_2^- \rrbracket \end{aligned}$$

By induction
By Closure Under Head Expansion
By definition of substitution

$$\text{Case 13: } \frac{\Gamma \vdash M_1 : \Sigma x:A_1.A_2 \quad \Gamma \vdash M_2 : \Sigma x:A_1.A_2 \quad \Gamma \vdash \pi_1 M_1 = \pi_1 M_2 : A_1 \quad \Gamma \vdash \pi_2 M_1 = \pi_2 M_2 : A_2 [\pi_1 M_1/x]}{\Gamma \vdash M_1 = M_2 : \Sigma x:A_1.A_2}$$

$$\begin{aligned} \Delta \vdash (\pi_1 M_1)[\sigma_1] \text{ is } (\pi_1 M_2)[\sigma_2] \text{ in } \llbracket A_1^- \rrbracket \\ \Delta \vdash (\pi_2 M_1)[\sigma_1] \text{ is } (\pi_2 M_2)[\sigma_2] \text{ in } \llbracket A_2^- \rrbracket \\ \Delta \vdash \pi_1(M_1[\sigma_1]) \text{ is } \pi_1(M_2[\sigma_2]) \text{ in } \llbracket A_1^- \rrbracket \\ \Delta \vdash \pi_2(M_1[\sigma_1]) \text{ is } \pi_2(M_2[\sigma_2]) \text{ in } \llbracket A_2^- \rrbracket \\ \Delta \vdash M_1[\sigma_1] \text{ is } M_2[\sigma_2] \text{ in } \llbracket A_1^- \times A_2^- \rrbracket \end{aligned}$$

By induction
By induction
By definition of substitution
By definition of substitution
By definition of relation

$$\text{Case 14: } \frac{\Gamma \vdash M_2 = M_1 : A}{\Gamma \vdash M_1 = M_2 : A}$$

$$\begin{aligned} \Delta \vdash \sigma_1 \text{ is } \sigma_2 \text{ in } \llbracket \Gamma^- \rrbracket \\ \Delta \vdash \sigma_2 \text{ is } \sigma_1 \text{ in } \llbracket \Gamma^- \rrbracket \\ \Delta \vdash M_2[\sigma_2] \text{ is } M_1[\sigma_1] \text{ in } \llbracket A^- \rrbracket \\ \Delta \vdash M_1[\sigma_1] \text{ is } M_2[\sigma_2] \text{ in } \llbracket A^- \rrbracket \end{aligned}$$

By assumption
By Symmetry of Relation
By induction
By Symmetry of Relation

$$\text{Case 15: } \frac{\Gamma \vdash M_1 = M_2 : A \quad \Gamma \vdash M_2 = M_3 : A}{\Gamma \vdash M_1 = M_3 : A}$$

$$\begin{array}{l} \Delta \vdash \sigma_1 \text{ is } \sigma_2 \text{ in } \llbracket \Gamma^- \rrbracket \\ \Delta \vdash \sigma_2 \text{ is } \sigma_1 \text{ in } \llbracket \Gamma^- \rrbracket \\ \Delta \vdash \sigma_2 \text{ is } \sigma_2 \text{ in } \llbracket \Gamma^- \rrbracket \\ \Delta \vdash M_1[\sigma_1] \text{ is } M_2[\sigma_2] \text{ in } \llbracket A^- \rrbracket \\ \Delta \vdash M_2[\sigma_2] \text{ is } M_3[\sigma_2] \text{ in } \llbracket A^- \rrbracket \\ \Delta \vdash M_1[\sigma_1] \text{ is } M_3[\sigma_2] \text{ in } \llbracket A^- \rrbracket \end{array} \quad \begin{array}{l} \text{By assumption} \\ \text{By Symmetry of Relation} \\ \text{By Transitivity of Relation} \\ \text{By induction} \\ \text{By induction} \\ \text{By Transitivity of Relation} \end{array}$$

$$\text{Case 16: } \frac{\Gamma \vdash A_1 = A_2 : \text{type} \quad \Gamma \vdash M_1 = M_2 : A_2}{\Gamma \vdash M_1 = M_2 : A_1}$$

$$\begin{array}{l} A_1^- = A_2^- \\ \Delta \vdash M_1[\sigma_1] \text{ is } M_2[\sigma_2] \text{ in } \llbracket A_2^- \rrbracket \\ \Delta \vdash M_1[\sigma_1] \text{ is } M_2[\sigma_2] \text{ in } \llbracket A_1^- \rrbracket \end{array} \quad \begin{array}{l} \text{By Erasure Preservation} \\ \text{By induction} \\ \text{From previous} \end{array}$$

$$\text{Case 17: } \frac{\Gamma \vdash A_{11} = A_{21} : \text{type} \quad \Gamma \vdash A_{11} : \text{type} \quad \Gamma, x:A_{11} \vdash A_{12} = A_{22} : \text{type}}{\Gamma \vdash \Sigma x:A_{11}.A_{12} = \Sigma x:A_{21}.A_{22} : \text{type}}$$

$$\begin{array}{l} \Delta \vdash A_{11}[\sigma_1] \text{ is } A_{21}[\sigma_2] \text{ in } \llbracket \text{type}^- \rrbracket \\ \Delta \vdash A_{11}[\sigma_1] \iff A_{21}[\sigma_2] : \text{type}^- \\ \Delta, x:A_{11}^- \vdash x \iff x : A_{11}^- \\ \Delta, x:A_{11}^- \vdash x \text{ is } x \text{ in } \llbracket A_{11}^- \rrbracket \\ \Delta, x:A_{11}^- \vdash \sigma_1 [x/x] \text{ is } \sigma_2 [x/x] \text{ in } \llbracket A_{11}^- \rrbracket \\ \Delta, x:A_{11}^- \vdash A_{12}[\sigma_1, x/x] \text{ is } A_{22}[\sigma_2, x/x] \text{ in } \llbracket \text{type}^- \rrbracket \\ \Delta, x:A_{11}^- \vdash A_{12}[\sigma_1, x/x] \iff A_{22}[\sigma_2, x/x] : \text{type}^- \\ \Delta \vdash \Sigma x:A_{11}[\sigma_1].A_{12}[\sigma_1, x/x] \iff \Sigma x:A_{21}[\sigma_2].A_{22}[\sigma_2, x/x] : \text{type}^- \\ \Delta \vdash \Sigma x:A_{11}[\sigma_1].A_{12}[\sigma_1, x/x] \text{ is } \Sigma x:A_{21}[\sigma_2].A_{22}[\sigma_2, x/x] \text{ in } \llbracket \text{type}^- \rrbracket \\ \Delta \vdash (\Sigma x:A_{11}.A_{12})[\sigma_1] \text{ is } (\Sigma x:A_{21}.A_{22})[\sigma_2] \text{ in } \llbracket \text{type}^- \rrbracket \end{array} \quad \begin{array}{l} \text{By induction} \\ \text{By definition of relation} \\ \text{By rule (variable)} \\ \text{By Fundamental Theorem} \\ \text{By definition of relation} \\ \text{By induction} \\ \text{By definition of relation} \\ \text{By rule (sums)} \\ \text{By definition of relation} \\ \text{By definition of substitution} \end{array}$$

$$\text{Case 18: } \frac{}{\Gamma \vdash 1 = 1 : \text{type}}$$

$$\begin{array}{l} \Delta \vdash 1 \iff 1 : \text{type}^- \\ \Delta \vdash 1 \text{ is } 1 \text{ in } \llbracket \text{type}^- \rrbracket \\ \Delta \vdash 1[\sigma_1] \text{ is } 1[\sigma_2] \text{ in } \llbracket \text{type}^- \rrbracket \end{array} \quad \begin{array}{l} \text{By rule (unit)} \\ \text{By definition of relation} \\ \text{By definition of substitution} \end{array}$$

□

We are almost done with proving completeness. We first need an easy lemma to show that identity substitutions are logically related to themselves.

Lemma 7.7 (Identity Substitutions are Logically Related) $\Gamma^- \vdash \text{id}_\Gamma \text{ is } \text{id}_\Gamma \text{ in } \llbracket \Gamma^- \rrbracket$.

Proof

By induction on the structure of Γ .

□

Theorem 7.8 (Definitionally Equal Terms are Logically Related)

1. If $\Gamma \vdash M_1 = M_2 : A$ then $\Gamma^- \vdash M_1$ is M_2 in $\llbracket A^- \rrbracket$.
2. If $\Gamma \vdash A_1 = A_2 : K$ then $\Gamma^- \vdash A_1$ is A_2 in $\llbracket K^- \rrbracket$.

Proof

Direct, by Lemma 7.6 and Lemma 7.7. □

Theorem 7.9 (Completeness of Equality Algorithm)

1. If $\Gamma \vdash M_1 = M_2 : A$ then $\Gamma^- \vdash M_1 \iff M_2 : A^-$.
2. If $\Gamma \vdash A_1 = A_2 : K$ then $\Gamma^- \vdash A_1 \iff A_2 : K^-$.

Proof

Direct, by Lemma 7.8 and Lemma 7.1. □

8 Soundness of the Algorithm and Canonical Forms

We will need to prove a few simple lemmas before we prove our algorithm sound. The first one says that the weak-head reduction relation is sound. This is important because at base types the algorithm performs weak-head reductions.

Lemma 8.1 (Subject Reduction)

1. If $M_1 \xrightarrow{\text{whr}} M_2$ and $\Gamma \vdash M_1 : A$ then $\Gamma \vdash M_2 : A$ and $\Gamma \vdash M_1 = M_2 : A$.
2. If $A_1 \xrightarrow{\text{whr}} A_2$ and $\Gamma \vdash A_1 : K$ then $\Gamma \vdash A_2 : K$ and $\Gamma \vdash A_1 = A_2 : K$.

Proof

By induction on the definition of weak head reduction. □

Lemma 8.2 (Inversion on Erasure)

1. If $\Gamma \vdash A : \text{type}$ and $A^- = 1^-$ then $A \xrightarrow{\text{whr}^*} 1$ and $\Gamma \vdash A = 1 : \text{type}$.
2. If $\Gamma \vdash A : \text{type}$ and $A^- = \tau_1 \rightarrow \tau_2$ then $A \xrightarrow{\text{whr}^*} \Pi x:A_1.A_2$ and $\Gamma \vdash A = \Pi x:A_1.A_2 : \text{type}$.
3. If $\Gamma \vdash A : \text{type}$ and $A^- = \tau_1 \times \tau_2$ then $A \xrightarrow{\text{whr}^*} \Sigma x:A_1.A_2$ and $\Gamma \vdash A = \Sigma x:A_1.A_2 : \text{type}$.

Proof

By induction on the length of a weak head normal reduction starting from A .

Case 1: $A \xrightarrow{\text{whr}}$

By Erasure Corresponds to Weak Head Normal Terms and Subject Reduction

Case 2: $A \xrightarrow{\text{whr}} A'$

By induction and transitivity □

8.1 Canonical Forms

We will actually prove soundness and canonical forms properties together for our language. We now define canonical and atomic objects, families and kinds, which are a syntactic subset of the corresponding terms, defined by the following grammar.

Canonical Kinds	$\bar{K} ::=$	type	kind of types
		$\Pi x:\bar{A}.\bar{K}$	dependent product kind
Atomic Families	$\bar{A} ::=$	a	family constants
		$\bar{A} \bar{M}$	family application
		$\Pi x:\bar{A}_1.\bar{A}_2$	family of functions
		$\Sigma x:\bar{A}_1.\bar{A}_2$	family of products
		1	unit type
Canonical Families	$\bar{\bar{A}} ::=$	\bar{A}	atomic families
		$\lambda x:A_1.\bar{\bar{A}}_2$	family level abstraction
Atomic Objects	$\bar{M} ::=$	c	object constants
		x	object variables
		$\bar{M}_1 \bar{M}_2$	object level application
		$\pi_i \bar{M} \quad (i = 1, 2)$	projections from pairs
Canonical Objects	$\bar{\bar{M}} ::=$	\bar{M}	atomic objects
		$\langle \bar{\bar{M}}_1, \bar{\bar{M}}_2 \rangle^A$	pairs of objects
		$\langle \rangle$	unit object
		$\lambda x:A.\bar{\bar{M}}$	object functions

Notice that these are different from the original notion of canonical forms. A better term might be quasi-canonical forms, or almost canonical forms, but that term is already used in Harper and Pfenning for something else. The difference from the original canonical forms is that type annotations of abstractions at both term and family levels need not be in canonical form. This is the same in spirit to the quasi-canonical form of Harper and Pfenning [5], but those forms elide type annotations on abstractions, so that the canonical forms do not belong syntactically to the language of LF.

We can now prove our main lemma, which implies both soundness of the algorithm for equality as well as existence of canonical forms.

Lemma 8.3 (Algorithm produces Canonical Mediating Terms)

1. If $\Gamma \vdash M_1 : A$, $\Gamma \vdash M_2 : A$ and $\Gamma^- \vdash M_1 \iff M_2 : A^-$, then there is a canonical object $\bar{\bar{M}}$ such that $\Gamma \vdash \bar{\bar{M}} : A$, $\Gamma \vdash M_1 = \bar{\bar{M}} : A$ and $\Gamma \vdash M_2 = \bar{\bar{M}} : A$.
2. If $\Gamma \vdash M_1 : A_1$, $\Gamma \vdash M_2 : A_2$ and $\Gamma^- \vdash M_1 \iff M_2 : \tau$, then there is an atomic object \bar{M} such that $\Gamma \vdash \bar{M} : A_1$, $\Gamma \vdash M_1 = \bar{M} : A_1$ and $\Gamma \vdash M_2 = \bar{M} : A_1$ and $\Gamma \vdash A_1 = A_2 : \text{type}$ and $A_1^- = A_2^- = \tau$.
3. If $\Gamma \vdash A_1 : K$, $\Gamma \vdash A_2 : K$ and $\Gamma^- \vdash A_1 \iff A_2 : K^-$, then there exists a canonical family $\bar{\bar{A}}$ such that $\Gamma \vdash \bar{\bar{A}} : K$, $\Gamma \vdash A_1 = \bar{\bar{A}} : K$ and $\Gamma \vdash A_2 = \bar{\bar{A}} : K$.
4. If $\Gamma \vdash A_1 : K_1$, $\Gamma \vdash A_2 : K_2$ and $\Gamma^- \vdash A_1 \iff A_2 : \kappa$, then there exists an atomic family \bar{A} such that $\Gamma \vdash \bar{A} : K_1$, $\Gamma \vdash A_1 = \bar{A} : K_1$ and $\Gamma \vdash A_2 = \bar{A} : K_1$ and $\Gamma \vdash K_1 = K_2 : \text{kind}$ and $K_1^- = K_2^- = \kappa$.
5. If $\Gamma \vdash K_1 : \text{kind}$, $\Gamma \vdash K_2 : \text{kind}$ and $\Gamma^- \vdash K_1 \iff K_2 : \text{kind}^-$, then there exists a canonical kind \bar{K} such that $\Gamma \vdash \bar{K} : \text{kind}$, $\Gamma \vdash K_1 = \bar{K} : \text{kind}$ and $\Gamma \vdash K_2 = \bar{K} : \text{kind}$.

Proof

By induction on the algorithmic judgment. We will show some representative cases.

$$\text{Case 1: } \frac{M_1 \xrightarrow{\text{whr}} M_2 \quad \Delta \vdash M_2 \iff M : \alpha}{\Delta \vdash M_1 \iff M : \alpha}$$

$\Gamma \vdash M_1 = M_2 : A$
 $\Gamma \vdash M_2 : A$
 There exists a canonical object \bar{M} such that
 $\Gamma \vdash \bar{M} : A$,
 $\Gamma \vdash M_2 = \bar{M} : A$,
 $\Gamma \vdash M = \bar{M} : A$
 $\Gamma \vdash M_1 = \bar{M} : A$

By Subject Reduction
 By Regularity

 By induction
 By rule (transitivity)

Case 2:
$$\frac{\Delta \vdash M_1 \longleftrightarrow M_2 : \alpha}{\Delta \vdash M_1 \iff M_2 : \alpha}$$

There exists an atomic term \bar{M} such that
 $\Gamma \vdash \bar{M} : A$,
 $\Gamma \vdash M_1 = \bar{M} : A$,
 $\Gamma \vdash M_2 = \bar{M} : A$

By induction

Case 3:
$$\frac{\Delta, x:\tau_1 \vdash M_1 x \iff M_2 x : \tau_2}{\Delta \vdash M_1 \iff M_2 : \tau_1 \rightarrow \tau_2}$$

$\Gamma \vdash A = \Pi x:A_1.A_2 : \text{type}$,
 $A_1^- = \tau_1$ and $A_2^- = \tau_2$
 $\Gamma \vdash \Pi x:A_1.A_2 : \text{type}$
 $\Gamma \vdash A_1 : \text{type}$
 $\Gamma, x:A_1 \vdash A_2 : \text{type}$
 $\Gamma, x:A_1 \vdash M_1 x : A_2$
 $\Gamma, x:A_1 \vdash M_2 x : A_2$

By Inversion on Erasure
 By Regularity
 By Inversion on Typing
 By Inversion on Typing
 By rule (application typing)
 By rule (application typing)

There exists a canonical object \bar{M} such that
 $\Gamma, x:A_1 \vdash \bar{M} : A_2$,
 $\Gamma, x:A_1 \vdash M_1 x = \bar{M} : A_2$
 $\Gamma, x:A_1 \vdash M_2 x = \bar{M} : A_2$
 $\Gamma \vdash \lambda x:A_1.\bar{M} : \Pi x:A_1.A_2$
 $\Gamma \vdash \lambda x:A_1.\bar{M} : A$
 $\Gamma \vdash M_1 = \lambda x:A_1.\bar{M} : \Pi x:A_1.A_2$
 $\Gamma \vdash M_2 = \lambda x:A_1.\bar{M} : \Pi x:A_1.A_2$
 $\Gamma \vdash M_1 = \lambda x:A_1.\bar{M} : A$
 $\Gamma \vdash M_2 = \lambda x:A_1.\bar{M} : A$

By induction
 By induction
 By rule
 By rule (type conversion)
 By rule (extensionality)
 By rule (extensionality)
 By rule (type conversion)
 By rule (type conversion)

Case 4:
$$\frac{\Delta \vdash \pi_1 M_1 \iff \pi_1 M_2 : \tau_1 \quad \Delta \vdash \pi_2 M_1 \iff \pi_2 M_2 : \tau_2}{\Delta \vdash M_1 \iff M_2 : \tau_1 \times \tau_2}$$

$\Gamma \vdash A = \Sigma x:A_1.A_2 : \text{type}$,
 $A_1^- = \tau_1$ and $A_2^- = \tau_2$
 $\Gamma \vdash \Sigma x:A_1.A_2 : \text{type}$
 $\Gamma \vdash A_1 : \text{type}$
 $\Gamma, x:A_1 \vdash A_2 : \text{type}$
 $\Gamma \vdash M_1 : \Sigma x:A_1.A_2$
 $\Gamma \vdash M_2 : \Sigma x:A_1.A_2$

By Inversion on Erasure
 By Regularity
 By Inversion on Typing
 By Inversion on Typing
 By assumption
 By assumption

$\Gamma \vdash \pi_1 M_1 : A_1$ By rule (projection)
 $\Gamma \vdash \pi_1 M_2 : A_1$ By rule (projection)
 $\Gamma \vdash \pi_2 M_1 : A_2 [\pi_1 M_1/x]$ By rule (projection)
 $\Gamma \vdash \pi_2 M_2 : A_2 [\pi_1 M_2/x]$ By rule (projection)

There exists a canonical object \bar{M}_1 such that

$\Gamma \vdash \bar{M}_1 : A_1,$
 $\Gamma \vdash \pi_1 M_1 = \bar{M}_1 : A_1,$
 $\Gamma \vdash \pi_1 M_2 = \bar{M}_1 : A_1$ By induction
 $\Gamma \vdash A_2 [\pi_1 M_2/x] = A_2 [\pi_1 M_1/x] : \text{type}$ By Functionality
 $\Gamma \vdash \pi_2 M_2 : A_2 [\pi_1 M_1/x]$ By rule (type conversion)

There exists a canonical object \bar{M}_2 such that

$\Gamma \vdash \bar{M}_2 : A_2 [\pi_1 M_1/x],$
 $\Gamma \vdash \pi_2 M_1 = \bar{M}_2 : A_2 [\pi_1 M_1/x],$
 $\Gamma \vdash \pi_2 M_2 = \bar{M}_2 : A_2 [\pi_1 M_1/x]$ By induction
 $\Gamma \vdash \langle \bar{M}_1, \bar{M}_2 \rangle^{\Sigma x:A_1.A_2} : \Sigma x:A_1.A_2$ By rule
 $\Gamma \vdash \langle \bar{M}_1, \bar{M}_2 \rangle^{\Sigma x:A_1.A_2} : A$ By rule (type conversion)
 $\Gamma \vdash M_1 = \langle \bar{M}_1, \bar{M}_2 \rangle^{\Sigma x:A_1.A_2} : \Sigma x:A_1.A_2$ By rule (extensionality)
 $\Gamma \vdash M_2 = \langle \bar{M}_1, \bar{M}_2 \rangle^{\Sigma x:A_1.A_2} : \Sigma x:A_1.A_2$ By rule (extensionality)
 $\Gamma \vdash M_1 = \langle \bar{M}_1, \bar{M}_2 \rangle^{\Sigma x:A_1.A_2} : A$ By rule (type conversion)
 $\Gamma \vdash M_2 = \langle \bar{M}_1, \bar{M}_2 \rangle^{\Sigma x:A_1.A_2} : A$ By rule (type conversion)

Case 5: $\frac{}{\Delta \vdash M_1 \iff M_2 : 1}$

$\Gamma \vdash A = 1 : \text{type}$ By Inversion on Erasure
 $\Gamma \vdash M_1 : 1$ By rule (type conversion)
 $\Gamma \vdash M_2 : 1$ By rule (type conversion)
 $\Gamma \vdash \langle \rangle : 1$ By rule
 $\Gamma \vdash \langle \rangle : A$ By rule (type conversion)
 $\Gamma \vdash M_1 = \langle \rangle : 1$ By rule
 $\Gamma \vdash M_2 = \langle \rangle : 1$ By rule
 $\Gamma \vdash M_1 = \langle \rangle : A$ By rule (type conversion)
 $\Gamma \vdash M_2 = \langle \rangle : A$ By rule (type conversion)

Case 6: $\frac{\Delta(x) = \tau}{\Delta \vdash x \iff x : \tau}$

$\Gamma \vdash x : A_1$ By assumption
 $\Gamma \vdash x : A_2$ By assumption
 $\Gamma(x) = A, \Gamma \vdash A = A_1 : \text{type}, \Gamma \vdash A = A_2 : \text{type}$ By Inversion
 $\Gamma \vdash x = x : A$ By rule (variable equality)
 $\Gamma \vdash x = x : A_1$ By rule (type conversion)
 $\Gamma \vdash A_1 = A_2 : \text{type}$ By rules (symmetry, transitivity)
 $A_1^- = A_2^- = A^- = \tau$ By Erasure Preservation

Case 7: $\frac{\Delta \vdash M_{11} \iff M_{21} : \tau_2 \rightarrow \tau_1 \quad \Delta \vdash M_{12} \iff M_{22} : \tau_2}{\Delta \vdash M_{11} M_{12} \iff M_{21} M_{22} : \tau_1}$

$\Gamma \vdash M_{11} M_{12} : A_1$	By assumption
$\Gamma \vdash M_{21} M_{22} : A_2$	By assumption
$\Gamma \vdash M_{11} : \Pi x:A_{11}.A_{12},$	
$\Gamma \vdash M_{12} : A_{11},$	
$\Gamma \vdash A_{12} [M_{12}/x] = A_1 : \text{type}$	By typing inversion
$\Gamma \vdash M_{21} : \Pi x:A_{21}.A_{22},$	
$\Gamma \vdash M_{22} : A_{21},$	
$\Gamma \vdash A_{22} [M_{22}/x] = A_2 : \text{type}$	By typing inversion
There is an atomic object \bar{M} such that	
$\Gamma \vdash \bar{M} : \Pi x:A_{11}.A_{12},$	
$\Gamma \vdash M_{11} = \bar{M} : \Pi x:A_{11}.A_{12},$	
$\Gamma \vdash M_{21} = \bar{M} : \Pi x:A_{11}.A_{12},$	
$\Gamma \vdash \Pi x:A_{11}.A_{12} = \Pi x:A_{21}.A_{22} : \text{type}$	
$(\Pi x:A_{11}.A_{12})^- = (\Pi x:A_{21}.A_{22})^- = \tau_2 \rightarrow \tau_1$	By induction
$\Gamma \vdash A_{11} = A_{21} : \text{type},$	
$\Gamma, x:A_{11} \vdash A_{12} = A_{22} : \text{type}$	By Injectivity of Products
$A_{11}^- = A_{21}^- = \tau_2$	By definition of $()^-$
$A_{12}^- = A_{22}^- = \tau_1$	By definition of $()^-$
$\Gamma \vdash M_{22} : A_{11}$	By rule (type conversion)
There exists a canonical object $\bar{\bar{M}}$ such that	
$\Gamma \vdash \bar{\bar{M}} : A_{11},$	
$\Gamma \vdash M_{12} = \bar{\bar{M}} : A_{11},$	
$\Gamma \vdash M_{22} = \bar{\bar{M}} : A_{11}$	By induction
$\Gamma \vdash A_{12} [\bar{\bar{M}}/x] = A_{12} [M_{12}/x] : \text{type}$	By Functionality
$\Gamma \vdash \bar{M} \bar{\bar{M}} : A_{12} [\bar{\bar{M}}/x]$	By rule (application)
$\Gamma \vdash \bar{M} \bar{\bar{M}} : A_{12} [M_{12}/x]$	By rule (type conversion)
$\Gamma \vdash M_{11} M_{12} = \bar{M} \bar{\bar{M}} : A_{12} [M_{12}/x]$	By rule (application)
$\Gamma \vdash M_{11} M_{12} = \bar{M} \bar{\bar{M}} : A_1$	By rule (type conversion)
$\Gamma \vdash M_{21} M_{22} = \bar{M} \bar{\bar{M}} : A_{12} [M_{12}/x]$	By rule (application)
$\Gamma \vdash M_{21} M_{22} = \bar{M} \bar{\bar{M}} : A_1$	By rule (type conversion)
$\Gamma \vdash A_{12} [M_{12}/x] = A_{22} [M_{22}/x] : \text{type}$	By Functionality
$\Gamma \vdash A_1 = A_2 : \text{type}$	By rules (symmetry, transitivity)
$A_1^- = A_{12}^- = A_{22}^- = A_2^- = \tau_1$	By Erasure Preservation

Case 8: $\frac{\Delta \vdash M_1 \longleftrightarrow M_2 : \tau_1 \times \tau_2}{\Delta \vdash \pi_1 M_1 \longleftrightarrow \pi_1 M_2 : \tau_1}$

$\Gamma \vdash \pi_1 M_1 : A_1$	By assumption
$\Gamma \vdash \pi_1 M_2 : A_2$	By assumption
$\Gamma \vdash M_1 : \Sigma x:A_1.A_{11}$	By Inversion
$\Gamma \vdash M_2 : \Sigma x:A_2.A_{21}$	By Inversion
There exists an atomic object \bar{M} such that	
$\Gamma \vdash \bar{M} : \Sigma x:A_3.A_{31},$	
$\Gamma \vdash M_1 = \bar{M} : \Sigma x:A_3.A_{31},$	
$\Gamma \vdash M_2 = \bar{M} : \Sigma x:A_3.A_{31},$	
$\Gamma \vdash \Sigma x:A_1.A_{11} = \Sigma x:A_3.A_{31} : \text{type},$	
$\Gamma \vdash \Sigma x:A_2.A_{21} = \Sigma x:A_3.A_{31} : \text{type},$	
$\Sigma x:A_1.A_{11}^- = \Sigma x:A_2.A_{21}^- = \Sigma x:A_3.A_{31}^- = \tau_1 \times \tau_2$	By induction
$\Gamma \vdash \pi_1 \bar{M} : A_3$	By rule
$\Gamma \vdash \pi_1 M_1 = \pi_1 \bar{M} : A_3$	By rule (projection)

$\Gamma \vdash \pi_1 M_2 = \pi_1 \bar{M} : A_3$	By rule (projection)
$\Gamma \vdash A_1 = A_3 : \text{type}$	By Injectivity
$\Gamma \vdash \pi_1 M_1 = \pi_1 \bar{M} : A_1$	By rule (type conversion)
$\Gamma \vdash \pi_1 M_2 = \pi_1 \bar{M} : A_1$	By rule (type conversion)
$\Gamma \vdash A_2 = A_3 : \text{type}$	By Injectivity
$\Gamma \vdash A_1 = A_2 : \text{type}$	By rules (symmetry, transitivity)
$A_1^- = A_2^- = A_3^- = \tau_1$	By Erasure Preservation

Case 9:
$$\frac{\Delta \vdash M_1 \longleftrightarrow M_2 : \tau_1 \times \tau_2}{\Delta \vdash \pi_2 M_1 \longleftrightarrow \pi_2 M_2 : \tau_2}$$

$\Gamma \vdash \pi_2 M_1 : A_1$	By assumption
$\Gamma \vdash \pi_2 M_2 : A_2$	By assumption
$\Gamma \vdash M_1 : \Sigma x:A_{11}.A_{12},$	
$\Gamma \vdash A_{12} [\pi_1 M_1/x] = A_1 : \text{type}$	By Inversion
$\Gamma \vdash M_2 : \Sigma x:A_{21}.A_{22},$	
$\Gamma \vdash A_{22} [\pi_1 M_2/x] = A_2 : \text{type}$	By Inversion
There exists an atomic object \bar{M} such that	
$\Gamma \vdash \bar{M} : \Sigma x:A_{31}.A_{32},$	
$\Gamma \vdash M_1 = \bar{M} : \Sigma x:A_{31}.A_{32},$	
$\Gamma \vdash M_2 = \bar{M} : \Sigma x:A_{31}.A_{32},$	
$\Gamma \vdash \Sigma x:A_{11}.A_{12} = \Sigma x:A_{31}.A_{32} : \text{type},$	
$\Gamma \vdash \Sigma x:A_{21}.A_{22} = \Sigma x:A_{31}.A_{32} : \text{type},$	
$(\Sigma x:A_{11}.A_{12})^- = (\Sigma x:A_{21}.A_{22})^- = (\Sigma x:A_{31}.A_{32})^- = \tau_1 \times \tau_2$	By induction
$\Gamma \vdash \pi_1 M_1 = \pi_1 \bar{M} : A_{31}$	By rule (projection)
$\Gamma \vdash \pi_1 M_2 = \pi_1 \bar{M} : A_{31}$	By rule (projection)
$\Gamma \vdash \pi_2 M_1 = \pi_2 \bar{M} : A_{32} [\pi_1 M_1/x]$	By rule (projection)
$\Gamma \vdash \pi_2 M_2 = \pi_2 \bar{M} : A_{32} [\pi_1 M_2/x]$	By rule (projection)
$\Gamma, x:A_{31} \vdash A_{12} = A_{32} : \text{type},$	
$\Gamma, x:A_{31} \vdash A_{22} = A_{32} : \text{type},$	By Injectivity
$\Gamma \vdash A_{12} [\pi_1 M_1/x] = A_{22} [\pi_1 M_2/x] : \text{type}$	By Functionality
$\Gamma \vdash A_1 = A_2 : \text{type}$	By rules (symmetry, transitivity)
$A_1^- = A_2^- = A_{32}^- = \tau_2$	By Erasure Preservation

Case 10:
$$\frac{\Delta \vdash A_{11} \iff A_{21} : \text{type}^- \quad \Delta, x:A_{11}^- \vdash A_{12} \iff A_{22} : \text{type}^-}{\Delta \vdash \Pi x:A_{11}.A_{12} \longleftrightarrow \Pi x:A_{21}.A_{22} : \text{type}^-}$$

$\Gamma \vdash \Pi x:A_{11}.A_{12} : K_1$	By assumption
$\Gamma \vdash \Pi x:A_{21}.A_{22} : K_2$	By assumption
$\Gamma \vdash K_1 = \text{type} : \text{kind},$	
$\Gamma \vdash K_2 = \text{type} : \text{kind}$	By Inversion
$\Gamma \vdash A_{11} : \text{type},$	
$\Gamma \vdash A_{21} : \text{type}$	By Inversion
There is a canonical family \bar{A}_1 such that	
$\Gamma \vdash \bar{A}_1 : \text{type},$	
$\Gamma \vdash A_{11} = \bar{A}_1 : \text{type},$	
$\Gamma \vdash A_{21} = \bar{A}_1 : \text{type}$	By induction
$\Gamma, x:A_{11} \vdash A_{12} : \text{type},$	
$\Gamma, x:A_{21} \vdash A_{22} : \text{type}$	By inversion

$\Gamma, x:\bar{A}_1 \vdash A_{22} : \text{type}$	By Context Conversion
$\Gamma, x:\bar{A}_1 \vdash A_{22} : \text{type}$	By Context Conversion
There is a canonical family \bar{A}_2 such that	
$\Gamma, x:\bar{A}_1 \vdash \bar{A}_2 : \text{type},$	
$\Gamma, x:\bar{A}_1 \vdash A_{12} = \bar{A}_2 : \text{type}$	By induction
$\Gamma, x:\bar{A}_1 \vdash A_{22} = \bar{A}_2 : \text{type}$	By induction
$\Gamma \vdash \Pi x:\bar{A}_1.\bar{A}_2 : \text{type}$	By rule
$\Gamma \vdash \Pi x:A_{11}.A_{12} = \Pi x:\bar{A}_1.\bar{A}_2 : \text{type}$	By rule (Product Equality)
$\Gamma \vdash \Pi x:A_{21}.A_{22} = \Pi x:\bar{A}_1.\bar{A}_2 : \text{type}$	By rule (Product Equality)
$K_1^- = K_2^- = \text{type}^-$	By Erasure Preservation

Case 11:
$$\frac{\Delta \vdash A_{11} \iff A_{21} : \text{type}^- \quad \Delta, x:A_{11}^- \vdash A_{12} \iff A_{22} : \text{type}^-}{\Delta \vdash \Sigma x:A_{11}.A_{12} \iff \Sigma x:A_{21}.A_{22} : \text{type}^-}$$

$\Gamma \vdash \Sigma x:A_{11}.A_{12} : K_1$	By assumption
$\Gamma \vdash \Sigma x:A_{21}.A_{22} : K_2$	By assumption
$\Gamma \vdash K_1 = \text{type} : \text{kind},$	
$\Gamma \vdash K_2 = \text{type} : \text{kind}$	By Inversion
$\Gamma \vdash A_{11} : \text{type},$	
$\Gamma \vdash A_{21} : \text{type}$	By Inversion
There is a canonical family \bar{A}_1 such that	
$\Gamma \vdash \bar{A}_1 : \text{type},$	
$\Gamma \vdash A_{11} = \bar{A}_1 : \text{type},$	
$\Gamma \vdash A_{21} = \bar{A}_1 : \text{type}$	By induction
$\Gamma, x:A_{11} \vdash A_{12} : \text{type},$	
$\Gamma, x:A_{21} \vdash A_{22} : \text{type}$	By inversion
$\Gamma, x:\bar{A}_1 \vdash A_{22} : \text{type}$	By Context Conversion
$\Gamma, x:\bar{A}_1 \vdash A_{22} : \text{type}$	By Context Conversion
There is a canonical family \bar{A}_2 such that	
$\Gamma, x:\bar{A}_1 \vdash \bar{A}_2 : \text{type},$	
$\Gamma, x:\bar{A}_1 \vdash A_{12} = \bar{A}_2 : \text{type}$	By induction
$\Gamma, x:\bar{A}_1 \vdash A_{22} = \bar{A}_2 : \text{type}$	By induction
$\Gamma \vdash \Sigma x:\bar{A}_1.\bar{A}_2 : \text{type}$	By rule
$\Gamma \vdash \Sigma x:A_{11}.A_{12} = \Sigma x:\bar{A}_1.\bar{A}_2 : \text{type}$	By rule (Product Equality)
$\Gamma \vdash \Sigma x:A_{21}.A_{22} = \Sigma x:\bar{A}_1.\bar{A}_2 : \text{type}$	By rule (Product Equality)
$K_1^- = K_2^- = \text{type}^-$	By Erasure Preservation

□

Theorem 8.4 (Soundness)

1. If $\Gamma \vdash M_1 : A, \Gamma \vdash M_2 : A$ and $\Gamma^- \vdash M_1 \iff M_2 : A^-$, then $\Gamma \vdash M_1 = M_2 : A$.
2. If $\Gamma \vdash M_1 : A_1, \Gamma \vdash M_2 : A_2$ and $\Gamma^- \vdash M_1 \iff M_2 : \tau$, then $\Gamma \vdash M_1 = M_2 : A_1, \Gamma \vdash A_1 = A_2 : \text{type}$ and $A_1^- = A_2^- = \tau$.
3. If $\Gamma \vdash A_1 : K, \Gamma \vdash A_2 : K$ and $\Gamma^- \vdash A_1 \iff A_2 : K^-$, then $\Gamma \vdash A_1 = A_2 : K$.
4. If $\Gamma \vdash A_1 : K_1, \Gamma \vdash A_2 : K_2$ and $\Gamma^- \vdash A_1 \iff A_2 : \kappa$, then $\Gamma \vdash A_1 = A_2 : K_1, \Gamma \vdash K_1 = K_2 : \text{kind}$ and $K_1^- = K_2^- = \kappa$.
5. If $\Gamma \vdash K_1 : \text{kind}, \Gamma \vdash K_2 : \text{kind}$ and $\Gamma^- \vdash K_1 \iff K_2 : \text{kind}^-$, then $\Gamma \vdash K_1 = K_2 : \text{kind}$.

Proof

Directly, from previous lemma. We will show the cases for objects, the cases for families and kinds are similar.

Case 1:

$$\begin{aligned}\Gamma \vdash M_1 &= \bar{M} : A, \\ \Gamma \vdash M_2 &= \bar{M} : A \\ \Gamma \vdash \bar{M} &= M_2 : A \\ \Gamma \vdash M_1 &= M_2 : A\end{aligned}$$

By Canonical Mediating Terms for Algorithm
By rule (symmetry)
By rule (transitivity)

Case 2:

$$\begin{aligned}\Gamma \vdash M_1 &= \bar{M} : A_1, \\ \Gamma \vdash M_2 &= \bar{M} : A_1, \\ \Gamma \vdash A_1 &= A_2 : \text{type}, \\ A_1^- &= A_2^- = \tau \\ \Gamma \vdash \bar{M} &= M_2 : A_1 \\ \Gamma \vdash M_1 &= M_2 : A_1\end{aligned}$$

By Canonical Mediating Terms for Algorithm
By rule (symmetry)
By rule (transitivity)

□

We can now also prove canonical forms by appeal to lemma 8.3.

Theorem 8.5 (Canonical Forms)

1. If $\Gamma \vdash M : A$ then there exists a canonical object \bar{M} such that $\Gamma \vdash \bar{M} : A$ and $\Gamma \vdash M = \bar{M} : A$.
2. If $\Gamma \vdash A : K$ then there exists a canonical family \bar{A} such that $\Gamma \vdash \bar{A} : K$ and $\Gamma \vdash A = \bar{A} : K$.
3. If $\Gamma \vdash K : \text{kind}$ then there exists a canonical kind \bar{K} such that $\Gamma \vdash \bar{K} : \text{kind}$ and $\Gamma \vdash K = \bar{K} : \text{kind}$.

Proof

Direct, from Canonical Mediating Terms for Equality Algorithm. We will show the case for objects.

$$\begin{aligned}\Gamma \vdash M &= M : A \\ \Gamma^- \vdash M &\iff M : A^-\end{aligned}$$

By Reflexivity
By Completeness of Algorithm

$$\begin{aligned}\text{There exists a canonical object } \bar{M} &\text{ such that } \Gamma \vdash \bar{M} : A, \\ \Gamma \vdash M &= \bar{M} : A\end{aligned}$$

By Canonical Mediating Terms for Equality Algorithm

□

9 Decidability of Type Checking

Terms which are related by the algorithm for equality are called by Harper and Pfenning “normalizing”. This terminology is justified by our result on canonical forms, since these terms are provably equal to canonical forms. We first prove that equality between normalizing terms is decidable. This will imply decidability of equality for all well-typed terms, by the use of completeness of the algorithm for deciding equality.

Lemma 9.1 (Decidability of Equality for Normalizing Terms)

1. If $\Delta \vdash M_1 \iff M_2 : \tau$ and $\Delta \vdash M_3 \iff M_4 : \tau$ then it is decidable whether $\Delta \vdash M_1 \iff M_3 : \tau$.
2. If $\Delta \vdash M_1 \iff M_2 : \tau_1$ and $\Delta \vdash M_3 \iff M_4 : \tau_2$ then it is decidable whether $\Delta \vdash M_1 \iff M_3 : \tau_3$ for any τ_3 .
3. If $\Delta \vdash A_1 \iff A_2 : \kappa$ and $\Delta \vdash A_3 \iff A_4 : \kappa$ then it is decidable whether $\Delta \vdash A_1 \iff A_3 : \kappa$.
4. If $\Delta \vdash A_1 \iff A_2 : \kappa_1$ and $\Delta \vdash A_3 \iff A_4 : \kappa_2$ then it is decidable whether $\Delta \vdash A_1 \iff A_3 : \kappa_3$ for any κ_3 .
5. If $\Delta \vdash K_1 \iff K_2 : \text{kind}^-$ and $\Delta \vdash K_3 \iff K_4 : \text{kind}^-$ then it is decidable whether $\Delta \vdash K_1 \iff K_3 : \text{kind}^-$.

Proof

By structural induction on the derivation of the judgment. □

Theorem 9.2 (Decidability of Algorithmic Equality)

1. If $\Gamma \vdash M_1 : A$ and $\Gamma \vdash M_2 : A$, then it is decidable whether $\Gamma^- \vdash M_1 \iff M_2 : A^-$.
2. If $\Gamma \vdash A_1 : K$ and $\Gamma \vdash A_2 : K$, then it is decidable whether $\Gamma^- \vdash A_1 \iff A_2 : K^-$.
3. If $\Gamma \vdash K_1 : \text{kind}$ and $\Gamma \vdash K_2 : \text{kind}$, then it is decidable whether $\Gamma^- \vdash K_1 \iff K_2 : \text{kind}^-$.

Proof

Direct from decidability for normalizing terms, using reflexivity of equality. We show one case, others are analogous.

Case 1:

$\Gamma \vdash M_1 = M_1 : A$	By Reflexivity of Equality
$\Gamma^- \vdash M_1 \iff M_1 : A^-$	By Completeness of Algorithmic Equality
$\Gamma \vdash M_2 = M_2 : A$	By Reflexivity of Equality
$\Gamma^- \vdash M_2 \iff M_2 : A^-$	By Completeness of Algorithmic Equality
It is decidable whether $\Gamma^- \vdash M_1 \iff M_2 : A^-$	
By Decidability of Algorithmic Equality for Normalizing Terms	

□

Corollary 9.3 (Decidability of Definitional Equality)

1. If $\Gamma \vdash M_1 : A$ and $\Gamma \vdash M_2 : A$, then it is decidable whether $\Gamma^- \vdash M_1 = M_2 : A^-$.
2. If $\Gamma \vdash A_1 : K$ and $\Gamma \vdash A_2 : K$, then it is decidable whether $\Gamma^- \vdash A_1 = A_2 : K^-$.
3. If $\Gamma \vdash K_1 : \text{kind}$ and $\Gamma \vdash K_2 : \text{kind}$, then it is decidable whether $\Gamma^- \vdash K_1 = K_2 : \text{kind}$.

Proof

By soundness and completeness of algorithmic equality, it is enough to have decidability of algorithmic equality. □

9.1 Algorithm for Type Checking

We now use the algorithm for equality as a subroutine to provide an algorithm for type checking.

Objects

$$\frac{\Gamma(x) = A}{\Gamma \vdash x \Rightarrow A} \quad \frac{\Sigma(c) = A}{\Gamma \vdash c \Rightarrow A}$$

$$\frac{\Gamma \vdash M_1 \Rightarrow A \quad A \xrightarrow{\text{whr}^*} \Pi x:A_{21}.A_1 \quad \Gamma \vdash M_2 \Rightarrow A_{22} \quad \Gamma^- \vdash A_{21} \iff A_{22} : \text{type}^-}{\Gamma \vdash M_1 M_2 \Rightarrow A_1 [M_2/x]}$$

$$\frac{\Gamma \vdash A_1 \Rightarrow \text{type} \quad \Gamma, x:A_1 \vdash M_2 \Rightarrow A_2}{\Gamma \vdash \lambda x:A_1. M_2 \Rightarrow \Pi x:A_1. A_2}$$

$$\frac{\begin{array}{l} \Gamma \vdash \Sigma x:A_1. A_2 \Rightarrow \text{type} \quad \Gamma \vdash M_1 \Rightarrow A_{11} \\ \Gamma^- \vdash A_{11} \iff A_1 : \text{type}^- \quad \Gamma \vdash M_2 \Rightarrow A_{21} \\ \Gamma^- \vdash A \iff A_2 [M_1/x] : \text{type}^- \end{array}}{\Gamma \vdash \langle M_1, M_2 \rangle^{\Sigma x:A_1. A_2} \Rightarrow \Sigma x:A_1. A_2}$$

$$\frac{\Gamma \vdash M \Rightarrow A \quad A \xrightarrow{\text{whr}^*} \Sigma x:A_1. A_2}{\Gamma \vdash \pi_1 M \Rightarrow A_1} \quad \frac{\Gamma \vdash M \Rightarrow A \quad A \xrightarrow{\text{whr}^*} \Sigma x:A_1. A_2}{\Gamma \vdash \pi_2 M \Rightarrow A_2 [\pi_1 M/x]} \quad \frac{}{\Gamma \vdash \langle \rangle \Rightarrow 1}$$

Families

$$\frac{\Sigma(a) = K}{\Gamma \vdash a \Rightarrow K} \quad \frac{\Gamma \vdash A_1 \Rightarrow \text{type} \quad \Gamma, x:A_1 \vdash A_2 \Rightarrow K}{\Gamma \vdash \lambda x:A_1. A_2 \Rightarrow \Pi x:A_1. K}$$

$$\frac{\Gamma \vdash A_1 \Rightarrow \Pi x:A_{21}. K_1 \quad \Gamma \vdash M \Rightarrow A_{22} \quad \Gamma^- \vdash A_{21} \iff A_{22} : K_1^-}{\Gamma \vdash A_1 M \Rightarrow K_1 [M/x]}$$

$$\frac{\Gamma \vdash A_1 \Rightarrow \text{type} \quad \Gamma, x:A_1 \vdash A_2 \Rightarrow \text{type}}{\Gamma \vdash \Pi x:A_1. A_2 \Rightarrow \text{type}} \quad \frac{\Gamma \vdash A_1 \Rightarrow \text{type} \quad \Gamma, x:A_1 \vdash A_2 \Rightarrow \text{type}}{\Gamma \vdash \Sigma x:A_1. A_2 \Rightarrow \text{type}}$$

$$\frac{}{\Gamma \vdash 1 \Rightarrow \text{type}}$$

Kinds

$$\frac{}{\Gamma \vdash \text{type} \Rightarrow \text{kind}} \quad \frac{\Gamma \vdash A \Rightarrow \text{type} \quad \Gamma, x:A \vdash K \Rightarrow \text{kind}}{\Gamma \vdash \Pi x:A. K \Rightarrow \text{kind}}$$

It is easy to show the soundness and completeness of the algorithm for typechecking.

Lemma 9.4 (Soundness of Algorithmic Typechecking)

1. If $\Gamma \vdash M \Rightarrow A$ then $\Gamma \vdash M : A$.
2. If $\Gamma \vdash A \Rightarrow K$ then $\Gamma \vdash A : K$.
3. If $\Gamma \vdash K \Rightarrow \text{kind}$ then $\Gamma \vdash K : \text{kind}$.

Proof

By induction on the derivation. □

To show completeness under the presence of a non-trivial equality at the type level (induced by the presence of type-level abstractions), we need the following technical lemma.

Lemma 9.5 (Inversion on Product and Sum Families)

1. If $\Gamma \vdash A = \Pi x:A_1.A_2 : \text{type}$ then $A \xrightarrow{\text{whr}^*} \Pi x:A_{11}.A_{21}$ such that $\Gamma \vdash A_1 = A_{11} : \text{type}$ and $\Gamma, x:A_1 \vdash A_2 = A_{21} : \text{type}$.
2. If $\Gamma \vdash A = \Sigma x:A_1.A_2 : \text{type}$ then $A \xrightarrow{\text{whr}^*} \Sigma x:A_{11}.A_{21}$ such that $\Gamma \vdash A_1 = A_{11} : \text{type}$ and $\Gamma, x:A_1 \vdash A_2 = A_{21} : \text{type}$.

Proof

By Erasure Preservation under Equality together with Inversion on Erasure, and using Injectivity. □

Lemma 9.6 (Completeness of Algorithmic Typechecking)

1. If $\Gamma \vdash M : A$ then $\Gamma \vdash M \Rightarrow A'$ and $\Gamma \vdash A = A' : \text{type}$.
2. If $\Gamma \vdash A : K$ then $\Gamma \vdash A \Rightarrow K'$ and $\Gamma \vdash K = K' : \text{kind}$.
3. If $\Gamma \vdash K : \text{kind}$ then $\Gamma \vdash K \Rightarrow \text{kind}$.

Proof

By induction on the derivation. We show two significant cases.

$$\text{Case 1: } \frac{\Gamma \vdash M_1 : \Pi x:A_2.A_1 \quad \Gamma \vdash M_2 : A_2}{\Gamma \vdash M_1 M_2 : A_1 [M_2/x]}$$

$$\begin{array}{l} \Gamma \vdash M_1 \Rightarrow A_{11}, \\ \Gamma \vdash A_{11} = \Pi x:A_2.A_1 : \text{type} \\ A_{11} \xrightarrow{\text{whr}^*} \Pi x:A'_2.A'_1 \quad \Gamma \vdash A_2 = A'_2 : \text{type}, \\ \Gamma, x:A_2 \vdash A_1 = A'_1 : \text{type} \\ \Gamma \vdash M_2 \Rightarrow A_{21}, \\ \Gamma \vdash A_{21} = A_2 : \text{type} \\ \Gamma \vdash A_{21} = A'_2 : \text{type} \\ \Gamma \vdash A_{21} \iff A'_2 : \text{type}^- \\ \Gamma \vdash M_1 M_2 \Rightarrow A'_1 [M_2/x] \\ \Gamma \vdash A'_1 [M_2/x] = A_1 [M_2/x] : \text{type} \end{array} \begin{array}{l} \text{By induction} \\ \\ \text{By Inversion on Product Families} \\ \\ \text{By induction} \\ \text{By rule (transitivity)} \\ \text{By Completeness of Algorithmic Equality} \\ \text{By rule} \\ \text{By Functionality} \end{array}$$

$$\text{Case 2: } \frac{\Gamma \vdash M : \Sigma x:A_1.A_2}{\Gamma \vdash \pi_1 M : A_1}$$

$$\begin{array}{l} \Gamma \vdash M \Rightarrow A, \\ \Gamma \vdash A = \Sigma x:A_1.A_2 : \text{type} \\ A \xrightarrow{\text{whr}^*} \Sigma x:A'_1.A'_2, \\ \Gamma \vdash A_1 = A'_1 : \text{type}, \\ \Gamma, x:A_1 \vdash A_2 = A'_2 : \text{type} \\ \Gamma \vdash \pi_1 M \Rightarrow A'_1 \end{array} \begin{array}{l} \text{By induction} \\ \\ \text{By Inversion on Sum Families} \\ \text{By rule} \end{array}$$

□

10 Conclusion

We have presented a new type theory in this paper. This is the logical framework LF extended with dependent pair and unit types. To be used as a logical framework, the important property of canonical forms is essential. We have shown the existence of the canonical forms property. The other useful property of decidability of type checking and equivalence is also shown. The algorithm is an extension of previous algorithms for the restriction to LF. A careful proof of soundness and completeness has also been shown.

In future work, we intend to use this language as our representation language for a functional programming language that can express soundness of algorithms. The current results will be important in showing that such a language can be verified statically.

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